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**WO 01/02439**

(54) Title: **NEOVASCULAR-TARGETED IMMUNOCONJUGATES**

(57) Abstract: Immunoconjugates for treating diseases associated with neovascularization such as cancer, rheumatoid arthritis, the exudative form of macular degeneration, and atherosclerosis are described. The immunoconjugates typically consist of the Fc region of a human IgG1 immunoglobulin including the hinge, or other effector domain or domains that can elicit, when administered to a patient, a cytolytic immune response or cytotoxic effect against a targeted cell. The effector domain is conjugated to a targeting domain which comprises a factor VII mutant that binds with high affinity and specificity to tissue factor but does not initiate blood clotting such as factor VII having a substitution of alanine for lysine-341 or of alanine for serine-344.

## TITLE OF THE INVENTION

**NEOVASCULAR-TARGETED IMMUNOCONJUGATES**

## CROSS-REFERENCES TO RELATED APPLICATIONS

This application claims priority benefit of U.S. serial number 60/142,161, filed July 1, 1999.

## STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH

The invention was made with partial government support under Project Grant HL29019-17 from the National Institutes of Health, U.S. Public Health Service. The government has certain rights in the invention.

## BACKGROUND OF THE INVENTION

Field of the Invention. This invention relates to the design, synthesis, and administration of immunoconjugate reagents for treating patients having

- 5 diseases associated with the growth of new blood vessels (neovascularization) such as cancer, rheumatoid arthritis, the exudative form of macular degeneration, and atherosclerosis. The target for immunoconjugates of the invention is the trans-membrane receptor tissue factor expressed by endothelial cells of the neovascu-lature. Tissue factor also is expressed by many types of tumor cells. Therefore,
- 10 therapeutic methods of the invention are especially efficacious in immunotherapy against a broad range of solid tumors. The therapeutic reagent is an immunocon-jugate composed of a targeting domain and an effector domain (Figure 1). The targeting domain is a mutated form of factor VII that binds with high affinity and specificity to tissue factor, but does not initiate blood coagulation. The effector
- 15 domain is the Fc region of an IgG1 immunoglobulin.

Background of the Invention. Pathologic angiogenesis, the induction of the growth of blood vessels from the vessels in surrounding tissue, is observed in a variety of diseases, typically triggered by the release of specific growth factors for vascular endothelial cells. Pathologic angiogenesis can result in neovascularization, enabling solid tumor growth and metastasis, causing visual malfunction in ocular disorders, promoting leukocyte extravasation in inflammatory disorders, and/or influencing the outcome of cardiovascular diseases such as atherosclerosis. Collectively, these are sometimes referred to as angiogenic diseases.

10 Since the survival and growth of a solid tumor depend critically on the development of a neovasculature, cancer is a paramount angiogenic disease (Folkman, J. (1995) *N. Engl. J. Med.* 333, 1757-1763). Many cancers progress in stages, beginning with proliferation of primary tumor cells, then penetration of tumor cells into the circulatory system, colonization at disseminated metastatic 15 sites, and proliferation of the metastasized tumor cells which are responsible for most deaths from cancer (Vogelstein, B., and Kinzler, K.W. (1993) *TIG* 9, 141-143). Because cancer often remains undetected until the disease has reached the metastatic stage, cancer therapies that can eradicate the vascular infrastructure and metastatic tumor cells are particularly desirable.

20 Angiogenesis also plays a significant role in rheumatoid arthritis (Szekanec, Z., *et al.* (1998) *J. Invest. Med.* 46, 27-41). Rheumatoid arthritis (RA) is a chronic systemic inflammatory disease that occurs worldwide in all ethnic groups and predominantly affects diarthrodial joints and frequently a variety of other 25 organs. The RA synovial tissue is extensively neovascularized. In RA, inflammatory leukocytes emigrate into the synovium through the endothelial layer of blood vessels, resulting in synovial inflammation and, eventually, joint destruction.

Angiogenesis underlies the majority of eye diseases that result in 30 catastrophic loss of vision (Friedlander, M., *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93, 9764-9769). The leading cause of blindness in individuals over the age

of 55 is the exudative ("wet") form of age-related macular degeneration (ARMD), and under the age of 55, proliferative diabetic retinopathy (PDR). While ARMD and PDR are prototypic diseases for choroidal and retinal neovascularization, respectively, other degenerative or inflammatory conditions can selectively cause 5 angiogenesis of either vasculature (*ibid.*).

Therefore, one approach to the treatment of these disease states, and particularly of cancer, has been to compromise the function or growth of the neovasculature, primarily by inhibiting the growth of new blood vessels (Chaplin, 10 D.J., and Dougherty, G.J., (1999) *Br. J. Cancer*, 80, 57-64). There are several advantages to vascular targeting. First, damage to blood vessels could stop blood flow and, applied to cancer, can trigger death of many dependent tumor cells. Second, the target cells are adjacent to the bloodstream, enhancing drug delivery. Third, treatment-resistant mutations are not likely to emerge in vascular endothelial 15 cells.

A number of anti-angiogenic therapies have been suggested, including drug, antibody, and gene therapy-based approaches. These include metalloproteinase inhibitors, pentosan polysulphate and TNP-470, selective inhibitors of 20 tyrosine kinase, and peptide inhibitors of angiostatin and endostatin (*ibid.*, and reviews cited therein). These typically prevent angiogenesis at various stages of vessel formation, *i.e.*, basement membrane degradation, endothelial cell migration, endothelial cell proliferation, and tube formation. It would be desirable to have improved therapies that target not only angiogenesis, but also the neovasculature 25 already formed in angiogenic disease states.

## SUMMARY OF THE INVENTION

30 It is an objective of the invention to provide a novel treatment for diseases involving the growth of new blood vessels (neovasculature), including

cancer, rheumatoid arthritis, the exudative form of macular degeneration, and athlerosclerosis. It is another and more specific objective of the invention to provide a neovascular-targeted therapy that not only inhibits the angiogenesis observed in these disease states, but also destroys the neovasculature structure.

5

These and other objectives are accomplished by the present invention, which provides compositions comprising an immunoconjugate containing a targeting domain that binds selectively to tissue factor and an effector domain that mobilizes a cytolytic immune response or cytotoxic response against a targeted cell. In a typical immunotherapy treatment, a composition containing at least one immunoconjugate constructed as a dimer of two identical chains, each having an effector domain which is the Fc region of a human IgG1 immunoglobulin, including the hinge, conjugated to a targeting domain comprising a mutant form of human factor VII such as a factor VII with a substitution of alanine for lysine-341 and/or alanine for serine-344, that binds to tissue factor but does not initiate blood clotting, is administered in effective amounts to a patient having a disease associated with neovascularization. Since tissue factor is expressed by endothelial cells lining the tumor neovasculature but not the normal vasculature, and also by many types of tumor cells, factor VII immunoconjugates of the invention are especially efficacious in immunotherapy against a broad range of solid tumors. The invention is different from previously described inventions which activate coagulation in the neovasculature and/or introduce tissue factor or tissue factor mutants to the neovasculature (such as that described by Edgington and Morrissey in U.S. Pat. No. 6,001,978), which is superfluous because tissue factor is specifically expressed by the endothelial cells of the neovasculature, in two major respects: tissue factor is used as a target for inducing a cytolytic immune response mediated by immunoconjugates of the invention, rather than as an initiator of the blood coagulation process, and a cytolytic immune response that destroys neovasculature without activating the blood coagulation pathway is initiated.

Methods for systemic or local administration of the immunoconjugates are also disclosed, which involve the use of purified immunoconjugate proteins in conventional pharmaceutical compositions, or vector systems carrying a cDNA encoding a secreted form of the immunoconjugate. Treatments according to the 5 invention include, but are not limited to, periodic or continuous intravenous or intratumoral injection, or injection at other sites, of effective amounts of one or more types of purified immunoconjugate protein. Alternate embodiments involve the treatment of patients by intravenous or intratumoral injection, or injection at other sites, of an effective amount of an expression vector carrying a cDNA 10 encoding a secreted form of one or more types of immunoconjugate protein. Examples of the latter include treatment of patients by intravenous or intratumoral injection, or injection at other sites, of a replication-deficient adenoviral vector or an adeno-associated vector carrying a cDNA encoding a secreted form of immunoconjugates of the invention.

15

In some embodiments such as cancer immunotherapy, immunoconjugates of the invention are administered together with another type of immunoconjugate having a different targeting domain. These typically are single-chain Fv or V<sub>H</sub> molecule fragments isolated from a human scFv or V<sub>H</sub> fusion phage libraries that 20 bind selectively to cell surface molecules expressed on tumor cells, vascular endothelial cells, invasive cells in the synovium in pathological conditions, and the like.

#### BRIEF DESCRIPTION OF THE FIGURES

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Figure 1 is a diagram illustrating the organization of an immunoconjugate molecule of the invention. TD: Targeting domain. H: Hinge region of an IgG1 immunoglobulin with 2 disulfide bridges. CH2 and CH3: Constant regions of an IgG1 immunoglobulin. The targeting domain typically consists of human 30 factor VII with a mutated active site or a human scFv or V<sub>H</sub> molecule. The effector domain typically consists of the Fc region of a human IgG1, unmodified

or conjugated to a cytotoxic agent such as a radioactive molecule or photoactivateable dye molecule

Figure 2 is a bar graph showing immunoconjugate-dependent lysis of human melanoma cells by human natural killer (NK) cells. The targeting domain

5 of the immunoconjugate is a melanoma-specific human scFv molecule, and the effector domain is the Fc region of a human IgG1 immunoglobulin. The human melanoma cell line A-2058 and the human fibroblast cell control were labeled with the fluorescent dye Calcein-AM. The fraction of melanoma or fibroblast cells remaining intact after exposure to NK cells alone (Bar A), or to NK cells with the 10 scFv immunoconjugate *E26-1* (Bar B), was measured by residual fluorescence.

The ratio of NK effector cells to target cells (E/T) was varied from 3 to 20.

Three complete sets of experiments were done for both the melanoma and fibroblast cells; for each experiment, the cytolysis assays were done in quadruplicate.

The bars shown in the figure represent the average of the cytolysis assays for the

15 three experiments, which generally agreed within 10%. The % cytolysis was calculated as described in Example 1. Similar results were obtained with the scFv immunoconjugate *G71-1*.

Figure 3 is a bar graph showing immunoconjugate-dependent lysis of human melanoma cells by complement. The procedure was as described in the

20 legend of Figure 2, except that human serum or purified rabbit complement components were used instead of NK cells. Immunoconjugate and complement reagents for each assay were as shown in Example 1, Table 1.

Figure 4 shows a immunohistochemical assay for binding of a mutated mouse factor VII (*mfVIIasm*) immunoconjugate to tumor cells and tumor vascular 25 endothelial cells in a human melanoma xenograft grown in SCID mice. The 2nd antibody was anti-human  $\gamma$ -chain labeled with AP, and the AP substrate was BCIP/NBT which produces a blue color; the counterstain was methyl green.

Panel A: Control stained with hematoxylin + eosin showing extensive vascularization of the xenograft. Panel B: Immunohistochemistry with the *mfVIIasm* immunoconjugate showing intense staining of both the vascular endothelial cells and tumor cells. Panel C: Immunohistochemical control without the *mfVIIasm* immunoconjugate.

Figure 5 are line graphs showing concentrations of the *G71-1* scFv and *mfVIIasm* immunoconjugates in the blood of SCID mice after intravenous injections of replication-incompetent adenoviral vectors encoding the immunoconjugates. The mice were injected on day 0 and day 7 with  $2 \times 10^{11}$  adenovirus 5 encoding the *G71-1* immunoconjugate (A) or with  $4 \times 10^{11}$  adenovirus encoding the *mfVIIasm* immunoconjugate (B). The concentration of the encoded immunoconjugate in the blood was determined by ELISA. Each point is the average of the concentration for the 5 mice in each group.

Figure 6 is a line graph showing the inhibitory effect of the *G71-1* and 10 *mfVIIasm* immunoconjugates on the growth of a human melanoma xenograft in SCID mice. For each curve 5 SCID mice were injected subcutaneously with  $5 \times 10^5$  TF2 cells. When the xenografts had grown to a palpable size, the mice received tail vein injections on days 0, 7 and 14 of the adenoviruses indicated in the figure. The amount of adenovirus injected was  $4 \times 10^{11}$  for the control,  $2 \times 10^{11}$  15 for the adenovirus encoding the *G71-1* immunoconjugate and  $4 \times 10^{11}$  for the adenovirus encoding the *mfVIIasm* immunoconjugate. The estimated tumor volumes are the averages for the 5 mice in each group.

Figure 7 is a bar graph showing tumor weights of the xenografts from the experiment reported in Figure 6 and Example 2. The xenografts were dissected 20 from the mice on day 20, which was 6 days after the last injection of adenovirus. The bar heights are the average weights for the 5 mice in each group.

Figure 8 is a line graph showing the inhibitory effect of the *G71-1* and *mfVIIasm* immunoconjugates on the growth of a large human melanoma xenograft in SCID mice. Each mouse was injected subcutaneously with  $5 \times 10^5$  melanoma 25 cells, and the xenografts were allowed to grow to an estimated tumor volume of  $50 \text{ mm}^3$  on the skin surface (day 1). A mixture of  $2 \times 10^{11}$  adenoviruses encoding the *G71-1* immunoconjugate and  $7 \times 10^{11}$  adenoviruses encoding the *mfVIIasm* immunoconjugate was injected into the tail vein of 5 mice on days 1, 6, 12 and 19. As a control 5 mice were injected with  $4 \times 10^{11}$  adenoviruses that did not 30 encode an immunoconjugate. The estimated tumor volumes are the averages for the 5 mice in each group. One of the mice injected with the adenoviruses encoding the immunoconjugates was found dead on day 17; the estimated tumor volumes on subsequent days are the averages for the remaining 4 mice.

Figure 9 is a line graph plotting the inhibitory effect of the *mfVIIasm* immunoconjugate on the growth of a human melanoma xenograft expressing a low level of tissue factor. The mice were injected subcutaneously with  $5 \times 10^5$  LXSN cells, and when the xenograft had grown to a palpable size (day 0) 5 mice were 5 injected intravenously with  $9 \times 10^{11}$  adenoviruses encoding the *mfVIIasm* immunoconjugate, and 5 mice were injected with  $4 \times 10^{11}$  control adenoviruses. Additional injections were done on days 7, 13, 21 and 24, and on day 25 the mice were dissected for morphological and histochemical examination. The estimated tumor volumes are the averages for the 5 mice in each group.

10 Figure 10 illustrates the histochemistry of the human melanoma xenografts from the experiment reported in the legend of Figure 9 and Example 2. The xenografts were dissected on day 25, embedded in paraffin, and sections were stained with hematoxylin + eosin. Panel A: Xenograft from a control mouse injected with the adenovirus that does not encode an immunoconjugate. Panel B: 15 Xenograft from a mouse injected with the adenovirus encoding the *mfVIIasm* immunoconjugate.

Figure 11 is a line graph showing dosage effect of intratumorally injected adenoviral vectors on the growth of a human melanoma tumor in SCID mice. The mice were first injected subcutaneously with the human melanoma cell 20 line TF2, and when a skin tumor had grown to the size indicated on day 0 the tumor was injected with a mixture of the two adenoviral vectors encoding the *mfVIIasm* and *G71-1* immunoconjugates. The dose for the two vectors was as follows.  $\circ$ :  $7 \times 10^8$  IU;  $\nabla$ :  $2 \times 10^9$  IU;  $\square$ :  $6 \times 10^9$  IU. The dose for the control,  $\diamond$ , was injected with  $6 \times 10^9$  IU of an adenoviral vector that did not encode an im- 25 munoconjugate.

Figure 12 is a line graph showing the effect of a high dose of intra-tumorally injected adenoviral vectors on the growth of a human melanoma tumor in SCID mice. The mice were first injected subcutaneously with the human melanoma line TF2, and when a skin tumor had grown to the size indicated on 30 day 0 the tumor was injected with  $6 \times 10^9$  IU of the following adenoviral vectors:  $\bullet$ , Control vector (3 mice);  $\blacksquare$ , vector encoding the *mfVIIasm* immunoconjugate (5 mice);  $\triangleright$ , mixture of the two vectors encoding the *mfVIIasm* and *G71-1* immunoconjugates (6 mice). Additional injections were done on days 2, 5, 7, 9,

11, 13, 15 and 17. The points on each curve are the average ( $\pm$  20%) of the measurements for all mice in the corresponding group.

Figure 13 are photographs of melanoma tumors from SCID mice injected intratumorally with the adenoviral vectors encoding a mixture of the 5 *mfVIIasm* and *G71-1* immunoconjugates or with an empty adenoviral vector control. The experiment is described in the legend to Figure 12 above, and in Example 3. The tumors were dissected 2 days after the last injection and photographed. The full scale at the top of the figure is 1 cm.

Figure 14 are photographs showing the distribution of an adenoviral 10 vector in tumor and liver after intratumoral injection. The control vector encoding the GFP protein but not an immunoconjugate was injected into 3 sites of a human melanoma skin tumor growing in SCID mice. The total vector dose was  $6 \times 10^9$  IU. The tumor and liver were dissected 40 hr after the injection and were examined intact under a dissecting microscope with fluorescence optics. The GFP 15 signal was detected with 480 nm excitation and 630 nm emission, and the background signal was detected with 577 nm excitation and 630 nm emission. Panel A: Tumor GFP. Panel B: Tumor background. Panel C: Liver GFP. Panel D: Liver background. A bright fluorescent spot similar to the one in Panel A also was detected at two other tumor sites, presumably corresponding to the 20 injection sites. The photographs are focused at one level in the tissues. However, the GFP spot in the tumor also could be detected by focusing above and below that level, suggesting that the tumor cells adjacent to the path traversed by the injection needle are the only cells infected by the vector.

Figure 15 shows liver sections from SCID mice injected intravenously or 25 intratumorally with the adenoviral vectors. The intravenous experiment is described in Example 2, and the intratumoral experiment is described in Example 3. The panels on the left were injected with the control vector, and the panels on the right were injected with a mixture of the two vectors encoding the *mfVIIasm* and *G71-1* immunoconjugates. The livers were dissected 2 days after the last injection, 30 fixed in formaldehyde and embedded in paraffin. The sections were stained with hematoxylin and eosin and photographed at a magnification of 100 $\times$ .

Figure 16 is a line graph showing the effect of intravenous injections into immunocompetent mice of the adenoviral vector encoding the *mfVIIasm*

immunoconjugate on the growth of a mouse skin melanoma. C57BL/6 mice were injected subcutaneously with the mouse melanoma line B16F10, and when a skin tumor had grown to the size indicated on day 0 the tumor was injected with  $3 \times 10^{10}$  IP of the vector encoding the *mfVIIasm* immunoconjugate. The Fc domain of the 5 immunoconjugate was derived from a mouse IgG1 immunoglobulin. The tumor was injected again on day 5 with  $1.5 \times 10^{10}$  IP.

#### DETAILED DESCRIPTION OF THE INVENTION

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Anti-vasculature immunoconjugate therapy is based on the observation that normal adult mammalian vasculature is generally in a quiescent state (except for certain processes such as the female reproductive cycle and wound healing), in contrast to the neovasculature that forms in certain disease states such as a 15 growing tumor which is in an active state of angiogenesis. Therefore, any molecular difference between quiescent and proliferating vascular endothelial cells could serve as a target for the pathologic vasculature.

A switch from a quiescent state to an angiogenic state in the pathologic 20 vasculature such as that observed in cancer is usually activated by vascular endothelial growth factor (VEGF), which is secreted by tumor cells and binds with high affinity and specificity to VEGF receptors on vascular endothelial cells. Another response activated by the binding of VEGF to receptors on vascular 25 endothelial cells is the expression of tissue factor, a transmembrane receptor that binds plasma factor VII/VIIa to initiate blood coagulation. Because only the vascular endothelial cells that have bound VEGF express tissue factor, a putative target for the tumor vasculature is tissue factor expressed on endothelial cells which should bind factor VII/VIIa circulating in the blood.

30 This invention is based upon the finding that immunoconjugates composed of a targeting domain conjugated to the Fc domain of human IgG1 mediate a cytolytic response against targeted cells by the natural killer (NK) cell and complement pathways of the immune system (Wang, B., *et al.* (1999) *Proc. Natl. Acad.*

*Sci. USA* 96, 1627-1632). Since the binding between the cell surface receptor tissue factor expressed the inner surface of growing blood vessels, but not of the stable blood vessels present in normal tissues, and its natural ligand factor VII exhibits high specificity and affinity (Hu, Z., *et al.* (1999) *Proc. Natl. Acad. Sci. USA* 96, 8161-8166), the invention provides a new immunotherapy protocol for the treatment of diseases associated with neovascularization. The invention also provides enhanced efficacy for cancer treatments because tissue factor is also expressed by many types of tumor cells (Callander, N.S., *et al.* (1992) *Cancer*, 70, 1194-1201).

10

However, because the binding of a factor VII immunoconjugate to tissue factor might cause disseminated intravascular coagulation, factor VII mutants that inhibit coagulation without affecting affinity for tissue factor are employed in preferred embodiments of the invention. These immunoconjugates that bind to 15 tissue factor but do not initiate blood clotting compete with endogenous factor VII/VIIa for binding to tissue factor. This competition strongly favors the immunoconjugate (Hu, *et al.*, cited above) because it contains two factor VII targeting domains (Figure 1), providing an avidity effect lacking in the monomeric endogenous factor VII molecule. In many preferred embodiments, the active site 20 of human factor VII is mutated by site-directed mutagenesis, substituting alanine for Lys-341 and/or for Ser-344, in order to block the proteolytic activity that initiates the blood coagulation process when factor VII binds to tissue factor. Because both the targeting and effector domains can be derived from human sources, significant immune rejection responses in human patients are minimized.

25

In the generalized practice of the invention, immunoconjugates such as that set out in Figure 1 are constructed as a protein dimer comprising two chains, each having an effector domain conjugated to a targeting domain. Several types of effector domain may be employed, so long as these exhibit cytotoxicity upon 30 binding of the immunoconjugate to its target. Many typical immunoconjugate proteins of the invention have, as an effector domain, the Fc region of an IgG1 immunoglobulin. As used herein, this includes variants and truncated versions exhibiting the same biological function as the Fc region. In other embodiments,

the effector domain can be a cytotoxic agent such as a radioactive tag or photoactivateable dye molecule, *e.g.*, a dye that can be activated by a laser beam. The effector domain is conjugated to a targeting domain comprising a mutant form of factor VII that binds to tissue factor but does not initiate blood clotting as described above.

Immunoconjugate proteins of the invention are administered to a patient having a disease associated with neovascularization such as cancer, rheumatoid arthritis, the exudative ("wet") form of macular degeneration, or atherosclerosis.

10 Administration may be local or systemic, depending upon the type of pathological condition involved in the therapy. As used herein, the term "patient" includes both humans or other species; the invention thus has both medical and veterinary applications. In veterinary compositions and treatments, immunoconjugates are constructed using targeting and effector domains derived from the corresponding 15 species.

Administration can be via any method known in the art such as, for example, intravenous, intramuscular, intratumoral, subcutaneous, intrasynovial, intraocular, intraplaque, or intradermal injection of the purified immunoconjugate 20 or of a replication-deficient adenoviral vector, or other viral vectors carrying a cDNA encoding a secreted form of the immunoconjugate. Other routes of administration can be parenteral administration of fluids, and the like. In preferred embodiments, the patient is treated by intravenous or intratumoral injection, or injection at other sites, of one or more immunoconjugate proteins, or by 25 intravenous or intratumoral injection, or injection at other sites, of one or more expression vectors carrying a cDNA encoding a secreted form of one or more types of immunoconjugate proteins. In some embodiments, the patient is treated by intravenous or intratumoral injection of an effective amount of one or more replication-deficient adenoviral vectors, or one or more adeno-associated vectors 30 carrying cDNA encoding a secreted form of one or more types of immunoconjugate proteins. A method employing a replication-deficient adenoviral vector is illustrated hereafter. Many typical embodiments involve intratumoral and/or intramuscular injections of effective amounts of a vector encoding a secreted form

of an immunoconjugate. Where vectors are employed for cancer, intratumoral injection of the vectors provides an important safety advantage over intravenous injection, because the vector infects predominantly the cells of the injected tumor.

5

Administrations involving injections of immunoconjugate proteins employ compositions wherein the immunoconjugate protein, or a combination of proteins, is dispersed or solubilized in a pharmaceutically acceptable carrier. In some cases, immunoconjugates are synthesized in *Drosophila* S2 cells transfected 10 with the expression vector pMK33/hygromycin, or in Chinese hamster ovary (CHO) cells transfected with the expression vector pcDNA3.1, or in a Baculovirus expression system, each vector carrying a cDNA encoding a secreted form of the immunoconjugate.

15

The amount of immunoconjugate necessary to bring about the therapeutic treatment is not fixed *per se*, and necessarily is dependent on the concentration of ingredients in the composition administered in conjunction with a pharmaceutical carrier, adjunct compounds in the composition administered that enhance the immune system response more fully illustrated below, and the age, 20 weight, and clinical condition of the patient to be treated. Preferred compositions deliver immunoconjugate(s) in effective amounts without producing unacceptable toxicity to the patient. Pharmaceutical compositions or formulations of the invention may also include other carriers, adjuvants, stabilizers, preservatives, dispersing agents, and other agents conventional in the art having regard to the 25 type of formulation in question.

As applied to cancer, the invention employs immunoconjugates having a targeting domain that specifically targets human tumor cells or tumor vasculature endothelial cells, or both, and an effector domain that activates a cytolytic immune 30 response or cytotoxic effect against the targeted cells. As described above, immunoconjugates that have, as the effector domain, the Fc region of the IgG1 immunoglobulin, including the hinge, conjugated to mutant human factor VII that does not initiate blood clotting are particularly efficacious in many cancer treat-

ments because they target tissue factor expressed both on tumor vasculature and tumor cells. In some embodiments, therapeutic effects can be further enhanced by administering to the patient another class of immunoconjugates that selectively target the tumor, such as immunoconjugates that have, as the targeting domain, an 5 anti-human tumor cell scFv or V<sub>H</sub> antibody fragment isolated by panning a scFv or V<sub>H</sub> fusion-phage library, derived from the peripheral blood lymphocytes of cancer patients, against the tumor cells previously described (Cai, X., and Garen, A. (1997) *Proc. Natl. Acad. Sci. USA* 94, 9261-9266). Combinations of immunoconjugates, administered simultaneously or sequentially as described above, are 10 particularly advantageous where synergy that enhances cytolysis of the targeted cells is observed.

In cancer treatments, anti-tumor immunoconjugates are used for treating a variety of cancers, particularly primary or metastatic solid tumors, including 15 melanoma, renal, prostate, breast, ovarian, brain, neuroblastoma, head and neck, pancreatic, bladder, and lung cancer. The immunoconjugates may be employed to target the tumor vasculature, particularly vascular endothelial cells, and/or tumor cells. The tumor vasculature offers several advantages for immunotherapy, as follows. (i) Some of the vascular targets including tissue factor should be the 20 same for all tumors. (ii) Immunoconjugates targeted to the vasculature do not have to infiltrate a tumor mass in order to reach their targets. (iii) Targeting the tumor vasculature should generate an amplified therapeutic response, because each blood vessel nourishes numerous tumor cells whose viability is dependent on the functional integrity of the vessel. (iv) The vasculature is unlikely to develop resistance 25 to an immunoconjugate, because that would require modification of the entire endothelium layer lining a vessel. Unlike previously described antiangiogenic methods that inhibit new vascular growth, immunoconjugates of the invention elicit a cytolytic response to the neovasculature.

30 Immunoconjugates of the invention can also be effective for treating patients with rheumatoid arthritis, the exudative ("wet") form of macular degeneration, atherosclerosis, and other diseases associated with neovascularization. Administering an immunoconjugate targeted to tissue factor by a mutated human

factor VII, which is conjugated to the Fc domain of an IgG1 immunoglobulin, can generate a cytolytic immune response against the vascular endothelial cells that invade the synovium in rheumatoid arthritis and express tissue factor. Likewise, factor VII immunoconjugates can also be effective for treating the exudative (wet) 5 form of macular degeneration because of the extensive neovascularization observed in that pathologic condition. Immunoconjugates of the invention can also be effective for the treatment of atherosclerosis by generating a cytolytic immune response against cells expressing tissue factor in plaques.

10            In summary, an overall immunotherapy program according to the invention involves constructing immunoconjugates containing, as the targeting domain, factor VII with a mutated active site, which binds with high avidity and specificity to tissue factor expressed on neovascular endothelial cells and also on tumor cells without causing blood coagulation. Immunoconjugates that contain as 15 the effector domain the Fc region of an IgG1 immunoglobulin mediate the lysis of targeted cells by NK cells and complement, resulting in destruction of the neovasculature. A significant advantage of the invention is the fact that immunoconjugates containing two factor VII targeting domains, in contrast to endogenous factor VII monomeric molecules, exhibit significantly greater binding than exogenous 20 factor VII to cells expressing tissue factor, successfully competing in the presence of excess natural ligand. Example 2 below illustrates an immunoconjugate that successfully competed in the presence of at least about a ten-fold molar excess of natural ligand, but the invention encompasses other embodiments exhibiting lower or higher affinity. The binding between endogenous factor VII to tissue factor is 25 one of the most specific and strongest reactions known, with a  $K_d$  in the picomolar range. Nevertheless, this invention improves significantly on the normal binding of factor VII to tissue factor as a result of the avidity effect of having two factor VII sequences in one immunoconjugate molecule. This avidity effect enhances the targeting and binding of immunoconjugates of the invention, so that they compete 30 effectively with endogenous factor VII and the binding persists longer, so that the immune response elicited is maximized. The immune response may be further maximized by administering to a patient, as adjunct therapy, another immunoconjugate having an effector domain which is the Fc region of an IgG1 immunoglobu-

lin conjugated to a targeting domain which is a scFv or  $V_H$  antibody fragment that binds to neovasculature, and/or, in the case of cancer, to the patient's type of tumor cell.

## 5

## EXAMPLES

The examples presented herein further illustrate and explain the present invention and should not be taken as limiting in any regard. Some of the methods used to generate and characterize immunoconjugates of the invention and component monoclonal antibodies have been described in Cai, X., and Garen, A. (1995) *Proc Natl. Acad. Sci. USA* 92, 6537-6541, (1996) *Proc. Natl. Acad. Sci. USA* 93, 6280-6285, and the 1997 reference cited above; in PCT/IB96/01032 to Yale University and Garen and Cai, published 23 Jan 1997 as WO 97/02479, presently pending in the U.S. as Ap. Ser. No. 08/983,607 filed 04/27/98, allowed 3/3/00; 10 in Wang, *et al.*, cited above; and in Hu, Z., *et al.*, cited above. 15

**Example 1**

Immunoconjugates containing a human single-chain Fv (scFv) targeting domain conjugated to the Fc effector domain of human IgG1 are synthesized and tested in this example. The scFv targeting domains were originally isolated as melanoma-specific clones from a scFv fusion-phage library, derived from the antibody repertoire of a vaccinated melanoma patient (more fully described in the Cai and Garen references cited above). The purified immunoconjugates showed 20 similar binding specificity as did the fusion-phage clones: Binding occurred to human melanoma cells but not to human melanocytes or to several other types of normal cells and tumor cells. 25

Materials and Methods. *Cell cultures.* The permanent human melanoma lines A2058 (American Type Culture Collection, Rockville, MD) and TF2 30 were grown in DMEM + 10% FCS. Primary cultures of human microvascular endothelial cells and fibroblast cells were extruded from newborn foreskin and cultured in RPMI medium supplemented with 8% FBS and 2% human peripartum serum; the endothelial cells were further supplemented with 33 mM 3-iso-

butyl-1-methylxanthene (IBMX) and 0.5 mM dibutyryl cAMP. Primary cultures of human melanocytes from newborn foreskin were prepared by the Skin Disease Research Center at Yale University School of Medicine. The transformed human kidney cells 293-EBNA (Invitrogen) and the Chinese hamster ovary (CHO) cells 5 were grown in RPMI + 10% FCS. (v) *Drosophila* cells (Schneider S2) were grown at 25° C in Ex-cell 301 medium (JRH biosciences) + 10% FBS. Resting NK cells were isolated from normal donors by leukapheresis and immunoselection and were used within 18 hr after isolation; most of the cells (>97%) were CD3-, CD56+ and CD16+.

10 *Preparation of the immunoconjugates.* The procedures involved transfecting the expression vector pcDNA3.1 (Invitrogen) into CHO cells, or the expression vector pMK33/pMtHy into *Drosophila* cells; each vector carried a cDNA encoding a secreted immunoconjugate (Figure 1). The cDNAs for the scFv targeting domains were synthesized from the corresponding fusion-phage (1) using 15 PCR primers containing *Sac*I or *Bam*HI sites as, follows: a) GTCGAGCAGAGC-TCCAGGTGCAGCTGGTGCAGTCTGGGGCTGAGGTGAGGTGAAGAAGCC (SEQ ID NO: 1); b) ACGTTCAGGGATCCACCTAGGACGGTCAGCTTGGT-CCC (SEQ ID NO: 2). The human Fc effector domain was synthesized from a cDNA library derived from human peripheral blood lymphocytes, using PCR with 20 primers containing *Bam*HI and *SaII* sites, as follows: a) ACCTTGAGGATCCG-CAAGACCCAAATCTTGTGACAAAATC (SEQ ID N: 3); b) GATCACG-TGTCGACTTATCATTACCCGGAG ACAGGGAGAGGCTCTTCTG (SEQ ID NO: 4). The cDNA for the IgG1 leader was synthesized by hybridizing two complementary oligonucleotides containing EcoRI and *Sac*I ends, as follows: a) 25 AATTGATGGAGTTGGGCTGAGCTGGCTTTCTTGTGCTGCATTAAGA-GGTGTCCAGTCCGAGCT (SEQ ID NO: 5); b) CGGACTGGACACCTGTTAATGCAGCAACAAGAAAAGCCAGCTCAGCCAAACTCATG (SEQ ID NO: 6).

These three cDNAs encoding a secreted immunoconjugate were ligated first into a cloning vector for sequencing and then into the expression vectors 30 pcDNA3.1 and pMK33/pMtHy for transfection into CHO or *Drosophila* S2 cells, respectively. The transfection procedure for CHO cells involved growing the cells in RPMI + 10% FCS and transfecting with 5 µg of an expression vector using SuperfectTM (Qiagen). Stable transfectants were selected in RPMI + 10%

FCS + 1 mg/ml G418. For protein expression, transfected CHO cells were first adapted to growth in CHO serum-free medium (Sigma), and then were grown for 3 days as a suspension culture ( $2 \times 10^5$  cells/ml) in the serum-free medium. The transfection procedure for *Drosophila* S2 cells involved growing the cells in

- 5 Ex-cell medium + 10% FBS and transfecting with 10  $\mu$ g of an expression vector using LipofectinTM (Gibco-BRL). Stable transfectants were selected in Ex-cell medium + 10% FBS + 300  $\mu$ g /ml hygromycin, and adapted for growth as a suspension culture in serum-free Ex-cell medium. Expression of the encoded immunoconjugate was induced in the suspension culture by addition of 500  $\mu$ M
- 10 copper sulfate.

The immunoconjugates secreted by transfected CHO or *Drosophila* S2 cells were purified from the culture medium by affinity chromatography on a protein-A matrix (Pierce).

*Binding specificity of the immunoconjugates measured by fluorescence-activated cell sorting (FACS).*

- 15 Melanoma cells and control cells were harvested in non-enzymatic dissociation medium (Sigma), washed with PBS/BSA + 0.1% sodium azide, and incubated in PBS/BSA with added immunoconjugate (1  $\mu$ g/ml) or in PBS/BSA without an immunoconjugate as a control. The cells were washed with PBS/BSA, incubated 30 min at 4° C with fluorescein-labeled anti-human Fc  $\gamma$ -chain (Vector), and analyzed on a Becton-Dickenson FACsort instrument.
- 20

*Immunoprecipitation of a melanoma cell extract with an immunoconjugate.* A sample of about  $1 \times 10^7$  cells from the human melanoma line A2058 was suspended in a solution containing 10  $\mu$ g/ml immunoconjugate, 1% BSA and

- 25 0.05% sodium azide in PBS, and was incubated for 30 minutes on ice. The cells were washed twice with PBS and lysed in a solution containing 1% NP-40, 1  $\mu$ g/ml immunoconjugate and 0.2 mM PMSF in PBS for 20 minutes on ice. The lysate was spun at 13,000 RPM in microfuge for 5 min, and the supernate was recovered and incubated with protein-G beads overnight on a rotator. The beads
- 30 were collected, washed twice with a solution containing 1% NP-40 in PBS and once with PBS, and the beads were collected, boiled in PAGE loading buffer and analyzed by PAGE.

*Matrix-Assisted Laser Desorption Ionization-Mass Spectrometry (MALDI-MS) and Liquid Chromatography Tandem MS (LC/MS/MS) protein identification.*

A protein band stained with Coomassie Blue was excised from the gel and digested with trypsin as described at <http://info.med.yale.edu/wmkeck/geldig3.htm>. A

5 sample of the tryptic digest was analyzed by MALDI-MS on a Micromass TofSpec SE. To attain the high level of accuracy needed for peptide mass searching, 100 fmol bradykinin which has a protonated monoisotopic mass of 1060.57, and ACTH clip which has a protonated monoisotopic mass of 2465.2, were used as internal calibrants. The resulting monoisotopic masses of the tryptic peptides were searched  
10 against the OWL database with the ProFound program using a mass tolerance of 0.2 daltons, and against the EMBL/non-redundant database with the PeptideSearch program using a 0.015% mass tolerance. Other important criteria used in the search were a mass range that extended from 140-560 Kda, a maximum of 1 missed cleavage and no limitation with regard to taxonomy. All the protein  
15 chemistry and mass spectrometry studies were carried out in the W.M. Keck Foundation & HHMI Biopolymer Laboratory at Yale University. Further information can be found at <http://info.med.yale.edu/wmkeck/>.

A sample of the trypsin-digested protein band used for the MALDI-MS analysis was also analyzed on a LCQ ion trap mass spectrometer. A Sequest  
20 search of the MS/MS data was done, using a tandem mass correlation algorithm with a mass tolerance of 2.0 daltons, to determine whether significant similarities exist between peptides from the tryptic digest and the reconstructed theoretical spectra for a protein in the NCBI nr database. Further information about this procedure can be obtained at <http://info.med.yale.edu/wmkeck/prochem.htm#ms/mspi>.

25 *Assays for immunoconjugate-dependent cytolysis of human melanoma cells by NK cells and complement.* The Calcein-AM retention procedure was used for both assays. The target cells, either human melanoma lines or primary human fibroblast cells, were isolated from culture flasks in a non-enzymatic dissociation medium (Sigma) and added to 96-well plates ( $2 \times 10^4$  cells/well). The adherent  
30 target cells were washed once with PBS, and afterwards incubated for 20 minutes at 37°C in serum-free culture medium (GIBCO-BRL) containing an immunoconjugate (1  $\mu$ g/ml) or without an immunoconjugate as a control. The target cells were then labeled with 7  $\mu$ M Calcein-AM (Molecular Probes Inc., Eugene, OR) in

serum-free medium for 40 min at 37°C. Calcein-AM is a fluorescent dye that enters the cells, where it is enzymatically altered and remains intracellular until the cells are lysed. For the cytolytic assays involving NK cells, the labeled target cells were incubated 3-4 hr at 37°C with human NK cells using the indicated 5 ratios of NK cells to target cells. For the cytolytic assays involving complement, the labeled target cells were incubated 1 hr at 37°C with human serum or purified rabbit complement components (Cedarlane Laboratories, Ontario, Canada). After incubation with NK cells or complement, the target cells were washed twice with PBS, and the fluorescence in the remaining adherent cells (residual fluorescence) 10 was measured with a plate reader. The maximum attainable cytosis of the target cells was determined by measuring residual fluorescence of the target cells after treatment with lysis buffer (50 mM sodium borate, 0.1 % Triton X-100, pH 9.0) for 3 hr at 37°C. The maximum residual fluorescence was determined by measuring the fluorescence of adherent target cells that were not exposed to NK cells or 15 complement. The % cytosis for each sample of target cells was calculated as follows: (residual fluorescence of the sample target cells minus residual fluorescence of the lysed target cells) / (maximum residual fluorescence of the target cells minus residual fluorescence of the lysed target cells).

Results. Synthesis and characterization of the anti-melanoma immuno-20 conjugates. The immunoconjugate encoded a human IgG1 leader for secretion, a human scFv domain for targeting melanoma cells, and a human IgG1-Fc effector domain (Figure 1). The immunoconjugate molecules were expressed in transfected CHO and *Drosophila* S2 cells, and were purified from the culture medium as two-chain molecules linked by disulfide bridges in the hinge region of the Fc 25 domain (Figure 1). Two immunoconjugates were synthesized, each containing a scFv targeting domain derived from the fusion-phage clone *E26-1* or *G71-1*. The binding specificities of the immunoconjugates *E26-1* and *G71-1* were tested by cell sorting (FACS) using two human melanoma lines; the controls were primary cultures of normal human melanocyte, fibroblast, microvascular and umbilical 30 vascular endothelial cells, and the human kidney line 293-EBNA. The results showed that the immunoconjugates bind strongly to the melanoma cell lines but do not bind to the controls, consistent with the results obtained with the *E26-1* and *G71-1* fusion-phage clones. The binding to melanoma cells occurred when the

cells were collected from the culture flask using a non-enzymatic cell dissociation medium (Sigma), but not when the dissociation medium contained trypsin or when the dissociated cells were subsequently treated with trypsin. This finding indicated that the cognate melanoma antigen(s) for the immunoconjugates is located on the 5 surface of melanoma cells. The antigen(s) appear to be exceptionally sensitive to trypsin, since the same exposure to trypsin did not affect the binding to the melanoma cells of antibodies against the cell surface molecules ICAM-1, MHC class-I and tissue factor.

*Identification of the cognate melanoma antigen for the immunoconjugates.* Cultured cells from the human melanoma line A2058 were equilibrated with the immunoconjugates *G71-1* or *E26-1*, and the cells were lysed with detergent. The immunoconjugate-antigen complex in the lysate was collected on protein-G beads and analyzed by PAGE. A protein band with an apparent molecular weight of 250 kda was detected in the melanoma cells but not in the 10 control. Analysis of a tryptic digest of the protein band by the MALDI-MS procedure identified 75 peptide masses that were not present in a digest of a control gel slice. A search of protein sequence databases by ProFound yielded 50 peptide masses that matched peptide masses in the MCSP core protein, spanning 15 26% of the complete protein sequence. The ProFound probability score for this control. Analysis of a tryptic digest of the protein band by the MALDI-MS procedure identified 75 peptide masses that were not present in a digest of a control gel slice. A search of protein sequence databases by ProFound yielded 50 peptide masses that matched peptide masses in the MCSP core protein, spanning 26% of the complete protein sequence. The ProFound probability score for this 15 identification was 1.0, and the next closest score was 2.2E-61. A search by Peptide Search matched 45 peptides to the MCSP core protein, with 38 peptides representing the next closest match.

The tryptic digest of the 250 kda protein was also analyzed by the 20 LC/MS/MS procedure (Stone, K.L., *et al.* (1998) *Electrophoresis* 19, 1046-1052). A Sequest search of the MS/MS data from the tryptic digest showed significant 25 similarity between the MS/MS spectra for two or more peptides and the reconstructed theoretical MS/MS spectra for two or more peptides from the MCSP core protein in the NCBI nr database.

The results of both mass spectroscopy analyses indicate that the melanoma 30 protein immunoprecipitated by the immunoconjugates *G71-1* and *E26-1* matched the MCSP core protein.

*Immunoconjugate-dependent cytolysis of melanoma cells mediated by NK cells and complement.* One of the cytolytic pathways of the immune system

involves NK cells which can bind directly to target cells, causing antibody-independent lysis of the target cells. NK cells also bind to the Fc effector domain of an antibody, resulting in anti-body-dependent lysis of cells that bind to the targeting domain of the antibody. This antibody-dependent cell-mediated cytolytic 5 pathway (ADCC) should also cause lysis of cells that bind to the targeting domain of immunoconjugates containing an Fc effector domain. To test for an ADCC response dependent on the immunoconjugates *E26-1* and *G71-1*, melanoma cells and fibroblast control cells were labeled with the fluorescent dye Calcein-AM, and the labeled cells were incubated with human NK cells alone or together with an 10 immunoconjugate. Cytolysis was assayed by measuring the amount of fluorescent dye retained in the cells that remained intact. The results for *E26-1* (Figure 2) show that after incubation with the immunoconjugate and NK cells, the percentage of lysed melanoma cells increased above the basal level that occurs without the immunoconjugate, reaching almost 100% lysis at a 20/1 ratio of NK cells to 15 melanoma cells. In contrast to the efficient lysis of melanoma cells, the fibroblast cells showed no significant increase in cell lysis after incubation with the immunoconjugate and NK cells. Similar results were obtained with the *G71-1* immunoconjugate.

The sensitivity of target cells to lysis by NK cells is increased by 20 expression on the target cell surface of adhesion molecules such as ICAMs, and is reduced by expression of MHC class I molecules (Zami, L., *et al.* (1995) *Cell. Immunol.* 164, 100-104 and Storkus, W.J., *et al.* (1989) *Proc. Natl. Acad. Sci. USA* 86, 2361-2364). To determine whether differences in expression of these molecules might contribute to the differences in the sensitivities of melanoma cells 25 and fibroblast cells in the ADCC assays, the expression of ICAM-1 and MHC class I molecules by melanoma and fibroblast cells was measured by FACS. Expression of both molecules was similar in the two cell types, indicating that the specific lysis of melanoma cells by NK cells depends on the binding of the immunoconjugates to the cognate antigens expressed on melanoma cells.

30 Another cytolytic pathway of the immune system involves the complement cascade, which is activated when the molecule C1q reacts with the Fc region of antibodies bound to a target cell (Bruggemann M., *et al.* (1987) *J. Exp. Med.* 166, 1351-1361). To test for a complement-mediated cytolytic response against

melanoma cells, dependent on the immunoconjugates *E26-1* and *G71-1*, the same assay procedure employed for the NK-mediated cytolytic response reported above was used with one change, namely that human serum or rabbit serum, which contain the components of the complement cascade, was substituted for NK cells.

5 The results (Figure 3 and Table 1) show that after incubation with the immunoconjugates and either human serum or rabbit serum, there was an increase in the fraction of melanoma cells lysed from 4% to almost 100%. In contrast to the efficient lysis of melanoma cells, the fibroblast cells showed a small increase in the fraction of lysed cells after incubation with the immunoconjugates and human 10 serum, and no significant increase after incubation with the immunoconjugates and rabbit serum.

Table 1. Immunoconjugate and complement reagents used for Figure 3 assays.

15	Assay	Human	Rabbit	Immunoconjugate	
		serum	complement	<i>G71-1</i>	<i>E26-1</i>
20	A, F	-	+	-	-
	B, G	+	-	+	-
	C, H	-	+	+	-
	D, I	+	-	-	+
	E, J	-	+	-	+

25 Discussion. For the present study the scFv molecules from two of the clones were used as the targeting domains for constructing immunoconjugates containing a human IgG1-Fc effector domain (Figure 1). The protein immuno-precipitated from human melanoma cells by both immunoconjugates was identified by mass spectroscopic analyses as the core protein of a melanoma-associated 30 chondroitin sulfate proteoglycan (MCSP) (Bumol, T.F. & Reisfeld, R.A. (1982) *Proc. Natl. Acad. Sci. USA* 79, 1245-1249 and Bumol, T., et al. (1984) *J. Biol. Chem.* 259, 12733-12741). The MCSP molecule was first identified as the cognate antigen recognized by the mAb 9.2.27, and it appears to be the cognate antigen for several other mAbs (Reisfeld, R.A. & Cheresh, D.A. (1987) *Adv. 35 Immunol.* 40, 323-377; Wilson, B.S., et al. (1981) *Int. J. Cancer* 28, 293-300; Hellström, I., et al. (1983) *J. Immunol.* 130, 1467-1472). Several scFv fu-

sion-phage clones that bind to the melanoma antigen HMW-MAA, which probably is the same as MCSP, have been isolated from a synthetic human scFv library by panning against purified HMW-MAA (Desai, S.A., *et al.* (1998) *Cancer Res.* 58, 2417-2425). The MCSP molecule is expressed predominantly on the surface of

5 most human melanoma cells, and also on capillary endothelial cells of glial tumors. The finding that MCSP is the cognate antigen for at least two of the melanoma-specific clones isolated from a melanoma patient's scFv fusion-phage library by panning against melanoma cells, suggests that MCSP is a dominant melanoma antigen *in vivo*.

10 As an initial test of the therapeutic potential of the two immunoconjugates, an *in vitro* cytolytic assay involving fluorescent-labeled target cells was used to determine the capacity of the immunoconjugates to target human melanoma cells for lysis by NK cells and by complement. Both of the immunoconjugates produced a sharp increase in the cytolytic activity of NK cells and complement

15 against melanoma cells, resulting in virtually complete lysis of the targeted melanoma cell population and only minor or no increase in lysis of the fibroblast cells used as a control. There was also a significant background of immunoconjugate-independent cytolysis of melanoma cells and fibroblast cells by NK cells and complement, which is expected for an allogenic assay in which the tumor cells,

20 NK cells and complement are isolated from different individuals (Ciccone, E., *et al.* (1992) *J. Exp. Med.* 175, 709-718). This background should be reduced in a cancer patient, because all of these components are autologous.

The results of the *in vitro* cytolytic tests provide preliminary evidence that immunoconjugates could have a potential role in immunotherapy protocols

25 with melanoma and other cancers for which tumor-specific scFv or VH targeting domains are available. A limited phase-I clinical trial for melanoma immunotherapy with the mouse mAb 9.2.27, which binds to MCSP, showed specific localization of the antibody in the tumors without evidence of associated toxicity (Oldham, R.K., *et al.* (1984) *J. Clin. Oncol.* 2, 1235-1244). The scFv immunoconjugates  
30 that bind to MCSP should be more effective than a mouse mAb for immunotherapy, because the smaller molecular size should improve tumor penetration, and the human derivation of the molecule should minimize an immune rejection response.

The *G71-1* and *E26-1* immunoconjugates probably bind to different MCSP

epitopes, as suggested by major differences in their  $V_H$  sequences (see SEQ ID NO: 11 and SEQ ID NO: 12 and the next example), and therefore could be administered together to enhance therapeutic efficacy.

5

## Example 2

This example reports that an immunotherapy treatment for cancer that targets both the tumor vasculature and tumor cells has shown promising results in a severe combined immunodeficient mouse xenograft model of human melanoma.

10 The procedure involved systemic delivery to SCID mice of two immunoconjugates, each composed of a tumor-targeting domain conjugated to the Fc effector domain region of a human IgG1. The effector domain induces a cytolytic immune response against the targeted cells by natural killer (NK) cells and complement. The immunoconjugates are encoded as secreted molecules in a replication-incom-  
15 petent adenoviral vector.

Materials and Methods. *Cell lines.* The melanoma cell lines LXSН, TF2 and LXSН/VEGF were derived from the human melanoma line YU-SIT1 by retroviral-mediated transfection and cloning. The LXSН line was transfected with the control retrovirus and expresses a low level of TF. The TF2 line was trans-  
20 fected with a retrovirus encoding TF cDNA and expresses a high level of TF. The LXSН/VEGF line was transfected with a retrovirus encoding VEGF cDNA and expresses high level of VEGF. The human kidney line 293 was purchased from the American Type Culture Collection.

*Plasmid vector.* The construction of the plasmid vector encoding the scFv (G7I-1) immunoconjugate was described in Example 1 (see also SEQ ID NO: 11). For the construction of the vector encoding the mouse factor VII (mfVII) immunoconjugate, the mfVII cDNA was amplified by PCR from a mouse liver cDNA library (Quick-Clone cDNA, Clonetech) using the 5'-primer ACGA-TCTTAAGCTTCCCCACAGTCTCATCATGGTTCCA (SEQ ID NO: 7) and the 30 3'-primer ACGGTAACGGATCCCAGTAGTGGAGTCGGAAAACCCC (SEQ ID NO: 8). The amplified mfVII cDNA, which contains the leader and coding sequences without a stop codon, was cloned into the *Hind*III and *Bam*HI sites of the pcDNA3.1 (+) vector (Invitrogen) in-frame with a cDNA encoding the human

IgG1 Fc domain. The vector DNA was amplified in HB101 competent cells (Life Technologies) and sequenced. The active site of *mfVII* cDNA was mutated by substituting an alanine codon for lysine-341 (Dickinson, C.D., *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93, 14379-14384). The mutagenesis procedure was done as 5 described in the QuickChange site-directed mutagenesis manual (Stratagene). The 5'-primer was GGTACCAAGGACGCCTGCGCGGGTGACAGCGGTGGCCCA (SEQ ID NO: 9) and the 3'-primer was TGGGCCACCGCTGTCACCCGCGCAG-GCGTCCTTGGTACC (SEQ ID NO: 10). The *mfVII* cDNA with the active site mutation is designated *mfVIIasm*. The plasmid containing *mfVIIasm* cDNA was 10 transformed into HB101 competent cells, and transformed colonies were selected on 2×TY/carbenicillin agar. The sequence of the plasmid DNA showed a substitution of an alanine codon (GCG) for the lys-341 codon (AAG) in the *mfVIIasm* DNA.

*Synthesis of immunoconjugates in CHO cells.* The procedures for 15 transfecting the immunoconjugate cDNAs into CHO cells and isolating clones were described in Example 1. The transfected CHO cells were cultured in CHO serum-free medium (EX-CELL 301, JRH Biosciences); for synthesis of the *mfVIIasm* immunoconjugate, the CHO serum-free medium was supplementd with vitamin K1 (Sigma) to a final concentration of 1 µg/ml. The immunoconjugates 20 were purified by affinity chromatography on Protein A beads (Pierce) and were concentrated and desalted by centrifugation through an Ultrafree-15 Biomax-50 filter (Millipore) and adjusted to 10 mM Tris-HCl pH 8.0. The immunoconjugate concentrations were measured by the Bio-Rad protein assay procedure.

*Fluorescence-activated cell sorting (FACS).* Melanoma cells were 25 harvested in nonenzymatic dissociation solution (Sigma), washed and resuspended in TBS/BSA/Ca<sup>2+</sup> (10 mM Tris-HCl pH 7.4, 150 mM NaCl, 20 mM CaCl<sub>2</sub>, 1% BSA and 0.1% NaN<sub>3</sub>). An immunoconjugate was added (5 µg/ml final concentration) and the cells were incubated for 30 min either at 37°C for the *mfVIIasm* immunoconjugate or on ice for the *G71-I* immunoconjugate; the control cells 30 were incubated without added immunoconjugate. After incubation the cells were washed with TBS/BSA, incubated 30 min on ice with fluorescein-labeled anti-human Fc γ-chain (Vector Laboratories), and analyzed on a Becton-Dickenson FACsort instrument.

*Adenoviral vectors.* The adenoviral vector system consists of the shuttle vectors pAdTrack-CMV and pShuttle-CMV, and the backbone vector pAdEasy-1 (He, T.C., *et al.* (1998) *Proc. Natl. Acad. Sci. USA* 95, 2509-2514). The immunoconjugate cDNAs were isolated from the pcDNA3.1 plasmid vectors by

5 digestion with *Hind*III followed by Klenow fragment to fill-in the 3'-recessed end, and then were digested with *Not*1 to release the cDNA insert which was purified by agarose gel electrophoresis. The shuttle vectors were first digested with *Kpn*1 followed by Klenow fragment, and then were digested with *Not*1. The immunoconjugate cDNAs were ligated into the shuttle vectors by incubation with T4 DNA

10 ligase at 16°C overnight, and the shuttle vectors were transformed into HB101 competent cells by heat-shock. Transformed colonies were selected on 2×TY/kanamycin agar, and the shuttle vectors were extracted and purified. The purified shuttle vectors and pAdTrack-CMV DNAs were digested with *Pme*1 at 37°C for 2 hr. A mixture of 500 ng shuttle vector DNA and 100 ng pAdEasy-1 DNA was

15 electroporated into BJ5183 competent cells, and the cells were shaken at 37°C for 15 min and plated on LB/kanamycin agar. The plates were incubated at 37°C overnight, and transformed colonies were isolated. The plasmid DNAs were purified from minipreps and screened for recombinant adenoviral DNA by electrophoresis on 0.6% agarose gels.

20 The recombinant adenoviral DNAs encoding the immunoconjugates were transfected into  $1 \times 10^5$  293 cells, following the protocol described above for transfecting CHO cells. The cells were collected 7 days after transfection, and the adenoviruses were released by 3 freeze-thaw cycles and amplified by infecting 293 cells in one 150 mm culture plate. After 2 days the adenoviruses were harvested

25 as described above and amplified again by infecting 293 cells in 20 culture plates. The amplified adenoviruses were harvested 2 days later and purified by centrifugation in CsCl. The final yields usually were about  $10^{13}$  virus particles as estimated from the absorbance at 260 nm; the conversion is 1 O.D. unit =  $1 \times 10^{12}$  particles. The purified adenoviruses were dialyzed against PBS and stored at -80°C.

30 *SCID mice experiments.* The SCID mice were 4- to 5-weeks old females from Taconic laboratories. The mice were injected subcutaneously into the right rear flank with  $5 \times 10^5$  TF2 or LXSN human melanoma cells. After the tumors had grown to a palpable size below the skin surface ( $\sim 5 \text{ mm}^3$ ) or to a

larger size above the skin surface (~50 mm<sup>3</sup>), the mice were injected via the tail vein with the adenoviral vector encoding an immunoconjugate, or as a control with the adenoviral vector that does not encode an immunoconjugate. The concentration of immunoconjugate protein secreted into blood was measured by collecting

- 5 about 0.1 ml of blood from one eye into a microcapillary tube coated with heparin, and centrifuging the blood to remove cells. The supernatant plasma was diluted with sodium bicarbonate buffer pH 9.6 and distributed into wells of pro-bind assay plates (Falcon), and the plates were incubated first at 37°C for 2 hr and then at 4°C overnight. The wells were blocked with 5% nonfat milk in PBS
- 10 for 30 min and washed 3 times with PBS, and a peroxidase-labeled anti-human IgG antibody diluted 1:2000 in 5% nonfat milk was added to the wells. The plates were incubated for 1 hr at room temperature and washed in PBS, and the peroxidase substrate OPD was added and absorbance was measured at 490 nm in a microplate reader. The protein standard was human IgG (Sigma) purified by
- 15 chromatography on Protein A beads.

The size of a tumor appearing on the skin of a SCID mouse was measured in two dimensions with a caliper, and the tumor volume was estimated by the formula (width)<sup>2</sup> (length)/2. At the end of an experiment, the mice were dissected and the tumors were weighed. The organs were examined for morphological evidence of damage, and paraffin sections were prepared for histological examination.

*Immunohistochemistry.* Paraffin sections of the tumors and organs were incubated in PBS+0.3% H<sub>2</sub>O<sub>2</sub> for 30 min and blocked in TBS/BSA buffer for 30 min. A solution containing 10μg/ml of the *mfVIIasm* immunoconjugate in

- 25 TBS/BSA/Ca<sup>2+</sup> buffer, or as a control the buffer without the immunoconjugate, was added to the sections and incubated at 37°C for 1 hr. After washing 3 times in the same buffer, the sections were incubated at room temperature for 1 hr with anti-human γ-chain antibody labeled with alkaline phosphatase, stained with BCIP/NBT which produces a blue color, and counterstained with methyl green.

**30 Results. Properties of the immunoconjugates.** The scFv (G71-1) and the mutated mouse factor VII (*mfVIIasm*) immunoconjugates were synthesized in CHO cells and purified from the culture medium by affinity chromatography on Protein A beads. An earlier analysis by SDS-PAGE showed that the *G71-1*

immunoconjugate is composed of two identical chains, presumably coupled by disulfide bridges between the hinge regions of the Fc domains (Example 1). The same result was obtained with the *mfVIIasm* immunoconjugate. (See SEQ ID NO: 11 and SEQ ID NO: 12.) Because the *mfVIIasm* immunoconjugate has two targeting domains, as compared to the single targeting domain in the monomeric endogenous fVII molecule, it can bind cooperatively to two TF molecules, resulting in stronger binding than endogenous fVII to cells expressing TF. A competitive FACS assay showed that human fVIIa competes on an equimolar basis with the *mfVIIasm* immunoconjugate for binding to half of the accessible sites on human melanoma cells, probably because only one of the targeting domains on the immunoconjugate molecule can bind to TF at these sites. The binding of the *mfVIIasm* immunoconjugate to the remaining sites could not be competed in the presence of a tenfold excess of human fVIIa, suggesting that both targeting domains of the immunoconjugate molecule can bind at these sites and provide a strong avidity effect. It appears that only about half of the TF molecules on the melanoma cells are sufficiently close to a second TF molecule to form a cooperative binding site for both targeting domains on a *mfVIIasm* immunoconjugate.

The xenografts for the immunotherapy tests were generated from the human melanoma lines LXS and TF2 which express, respectively, low or high levels of TF. The *mfVIIasm* immunoconjugate binds more extensively to the TF2 cells than to the LXS cells as determined by FACS, consistent with the higher level of TF expression by TF2 cells. The *mfVIIasm* immunoconjugate was also tested by immunohistochemistry for binding to sections of a human melanoma xenograft generated from the melanoma line LXS/VEGF which produces a high level of VEGF, resulting in a densely vascularized xenograft. Binding occurred to the tumor vascular endothelial cells as well as to the tumor cells (Figure 4), indicating that TF is expressed by both cell types in the xenograft. Immunohistochemistry tests with sections of normal mouse liver, kidney, lung and brain showed that the *mfVIIasm* immunoconjugate does not bind to vascular endothelial cells in these tissues, consistent with other evidence that TF is not expressed by vascular endothelial cells of nontumorous tissues.

*Immunotherapy tests.* For systemic delivery to SCID mice, each immunoconjugate was encoded as a secreted molecule in the replication-defective

adenoviral vector system based on pAdEasy-1 (He, *et al.*, cited above), and the vectors were injected into the tail vein of mice that had first been injected subcutaneously with human melanoma cells. The initial immunotherapy tests involved injecting each vector separately, and both vectors together, into the mice that had 5 developed a palpable TF2 xenograft. A total of three injections were administered at weekly intervals, and the experiment was terminated 6 days after the last injection. The concentration of the immunoconjugates in the blood was monitored by ELISA after the first and second injections (Figure 5). The average concentration after the first injection was 4 mg/ml for the *G71-1* immunoconjugate and 0.04 10 mg/ml for the *mfVIIasm* immunoconjugate, indicating that the rate of synthesis was about 100-fold higher for the *G71-1* immunoconjugate than for the *mfVIIasm* immunoconjugate. The concentration of each immunoconjugate increased after the second injections, indicating that additional cells had been infected by the adenoviruses. The growth of the xenografts was monitored by measuring in two 15 dimensions the size of the tumor appearing on the skin surface, and using the measurements to estimate the tumor volume (Figure 6). In the control mice injected with the adenovirus that does not encode an immunoconjugate, the tumor grew continuously at a relatively fast rate, reaching an average volume of about 2,000 mm<sup>3</sup> after 20 days. In the mice injected with an adenovirus encoding an 20 immunoconjugate, tumor growth was inhibited; the inhibition was stronger for the *mfVIIasm* immunoconjugate than for the *G71-1* immunoconjugate. All of the mice remained active and appeared healthy at the end of the experiment, and histological examination of the liver, spleen, lung, kidney and brain did not show any 25 evidence of necrosis, clotting or bleeding. The tumor weights after autopsy were lower in the mice treated with the immunoconjugates than in the control mice, consistent with the estimated tumor volumes (Figure 7). The strongest reduction of tumor weight occurred in the mice treated with both immunoconjugates.

The next two experiments were designed to test two parameters that could affect the therapeutic efficacy of the immunoconjugates, namely the initial 30 size of the xenograft and the level of TF expression by the melanoma cells. (i) The preceding immunotherapy tests involved palpable melanoma xenografts that had grown to an estimated volume of about 5 mm<sup>3</sup>, corresponding to a small tumor in humans. To test the therapeutic efficacy of the immunoconjugates

against a larger xenograft, TF2 xenografts were allowed to grow to an estimated volume of about 50 mm<sup>3</sup> before starting tail vein injections of the two adenoviral vectors. The mice received 4 injections during a period of 3 weeks, and the experiment was terminated 2 days after the last injection. The average tumor 5 volume in the mice injected with the adenoviruses encoding the immunoconjugates was about the same at the end as at the start of the experiment, in contrast to the average tumor volume in the mice injected with the control adenovirus which increased by a factor of about 27 during the same period (Figure 8). These results show that tumor growth is inhibited as effectively with the larger tumor as with 10 the smaller tumor. One of the 5 mice injected with the adenovirus encoding the immunoconjugates died 5 days after the third injection; the cause of death could not be determined because the mouse was not recovered in time for examination.

(ii) A parameter that might affect the efficacy of the *mfVIIasm* immunoconjugate is the level of TF expression, which varies among different tumors (Callender, 15 N.S., *et al.* (1992) *Cancer* 70, 1194-1201). To study the effect of varying the expression of TF by the melanoma cells in a xenograft, the melanoma line LXSN was used to generate a xenograft expressing a low level of TF, for comparison with the xenograft generated from the related line TF2 which expresses a higher level of TF (Bromberg, M.E., *et al.* (1999) *Proc. Natl. Acad. Sci. USA* 92, 20 8205-8209). After the xenografts reached a palpable size, the mice received during the next 3 weeks 5 injections of the adenovirus encoding the *fVIIasm* immunoconjugate or the control adenovirus (Figure 11). In the 5 mice injected with the control adenovirus the xenograft grew continuously, the average volume increasing to 1350 mm<sup>3</sup> on the second day after the last injection. During the 25 same period the average volume of the xenografts in the mice injected with the *mfVIIasm* immunoconjugate increased to 20 mm<sup>3</sup>, indicating that the inhibition of tumor development is comparable for the LXSN and TF2 xenografts (compare Figures 9 and 6). The autopsies performed one day after the last injection showed that the xenograft had been eradicated in 2 of the 5 mice injected with the adenovi- 30 rus encoding the *mfVIIasm* immunoconjugate; the average tumor weight in the other 3 mice was 0.11 gm as compared to the average weight of 0.75 gm in the 5 mice injected with the control adenovirus. The small tumors recovered from these 3 mice showed extensive regions of cell necrosis, which did not occur in the larger

tumors from the control mice (Figure 10). All of the mice appeared healthy at the end of this experiment, but a morphological examination of the dissected mice revealed damage to the liver and spleen in the 5 mice injected with the adenovirus encoding the *mfVIIasm* immunoconjugate. Histological examination of the liver and spleen showed that many of the liver cells were enlarged and the spleen was extensively infiltrated with erythrocytes. Enlarged liver cells also occurred in a previous experiment after 3 injections of the adenovirus encoding the *mfVIIasm* immunoconjugate, but the spleen was normal, indicating that the defects in the spleen developed in the course of the last 2 injections. One of the mice also had a subdural brain hemorrhage, which did not occur in other mice from this experiment or any of the previous experiments. It is uncertain whether this defect was induced by the binding of the *mfVIIasm* immunoconjugate to TF expressed in the brain vasculature, or occurred spontaneously.

Discussion. The immunotherapy procedure in this example involved systemic delivery to SCID mice of two immunoconjugates, each composed of a tumor-targeting domain conjugated to the Fc region of a human IgG1 heavy-chain, forming a homodimeric molecule similar to a Camelid heavy-chain antibody. For one type of immunoconjugate, the tumor-targeting domain was the human scFv molecule G71-1 that binds to the melanoma antigen MCSP expressed by the melanoma cells in the xenografts. For the other type of immunoconjugate, the tumor-targeting domain was a mouse factor VII molecule that binds specifically and tightly to tissue factor (TF), both to mouse TF expressed by the tumor vasculature endothelial cells and to human TF expressed by the melanoma cells in the xenografts. To decrease the risk of disseminated intravascular coagulation (DIC) that might result from the binding of a factor VII immunoconjugate to TF, an active site mutation was introduced into the mouse fVII targeting domain (*mfVIIasm*), inhibiting the proteolytic activity required to initiate the blood coagulation pathway.

The study reported in Example 1 showed that the *G71-1* immunoconjugate mediates cytosis of cultured human melanoma cells by NK cells and complement. Because SCID mice retain the capacity to produce functional NK cells and complement, the immunoconjugates could also mediate cytosis of the targeted tumor cells and vascular endothelial cells of a human melanoma xenograft

growing in SCID mice. Systemic delivery of the immunoconjugates to SCID mice was achieved by tail vein injections of a replication-defective adenoviral vector encoding the immunoconjugates, which were secreted into the blood for at least one week after each injection. The mice first were injected subcutaneously with a 5 human melanoma cell line that expresses either a low or high level of TF, and the resulting xenograft was allowed to grow into a small (~5 mm<sup>3</sup>) or larger (~50 mm<sup>3</sup>) tumor before starting injections of the adenoviral vectors. Further growth of all the xenografts was prevented for the 3 to 4 week period of the experiments by multiple injections of the adenovirus encoding the *mfVIIasm* immunoconjugate, 10 administered separately or together with the adenovirus encoding the *G7I-1* immunoconjugate; in some of the mice the xenograft completely regressed. In the control mice, which were injected with an adenovirus that did not encode an immunoconjugate, the average volume of the xenografts increased by a factor of about 25 during the same period. In the mice receiving 5 injections of the 15 adenoviral vectors encoding the immunoconjugates, many of the liver cells were enlarged and the spleen was infiltrated with erythrocytes. The defects were not caused by the secreted immunoconjugates, which do not bind to the liver or spleen cells. The primary cause probably is the continuous high level synthesis of the encoded immunoconjugates by the liver cells, which are the mouse cells predomi- 20 nately infected by intravenously-injected adenoviral vectors. Although the immunoconjugate concentration in the blood of SCID mice injected with an adenoviral vector was about 100-fold higher for the *G7I-1* immunoconjugate than for the *mfVIIasm* immunoconjugate, the inhibitory effect on a human melanoma xenograft nevertheless was stronger with the *mfVIIasm* immunoconjugate. A key 25 advantage of the *mfVIIasm* immunoconjugate is the binding that occurs to tumor vascular endothelial cells as well as to tumor cells, in contrast to the *G7I-1* immunoconjugate which binds only to melanoma cells. The binding to the tumor vasculature should be tumor-specific, because TF is not expressed by the normal vasculature. Although TF is expressed by several other normal tissues, such as 30 brain, lung and kidney glomeruli, these TF molecules are not accessible to endogenous fVII or a fVII immunoconjugate because the blood vessel walls form a barrier separating larger blood components from adjacent cells. However, tumor blood vessels are leaky, allowing access to TF expressed by tumor cells. Thus, a

human *fVIIasm* immunoconjugate can be an effective therapeutic agent for a broad spectrum of human tumors expressing TF on the vascular endothelial cells and tumor cells. The therapeutic efficacy of a human *fVIIasm* immunoconjugate can be enhanced by also administering a human scFv immunoconjugate that binds to a 5 tumor cell target other than TF.

### Example 3

This example reports a study of the efficacy and safety of a protocol for 10 cancer treatment tested in a SCID mouse model of human skin and metastatic lung melanoma, and in an immunocompetent mouse model of mouse melanoma. The protocol involved intratumoral injections of replication-incompetent adenoviral vectors encoding immunoconjugates of the invention that elicit a cytolytic immune response against the targeted neovasculature endothelial cells and tumor cells. The 15 mouse model experiments showed that intratumoral delivery of the factor VII immunoconjugate, either alone or together with the single-chain Fv immunoconjugate, resulted in growth inhibition and regression of the injected tumor, and also of distant uninjected metastatic tumors, without evidence of damage to normal organs. There was extensive destruction of the tumor neovasculature, presumably 20 mediated by the factor VII immunoconjugate bound to tissue factor on neovasculature endothelial cells. Because tissue factor is generally expressed on neovascular endothelial cells and tumor cells, a factor VII immunoconjugate can be used for immunotherapy against a broad range of human tumors.

Materials and Methods. *Cell lines.* *LXSN*, *TF2* and *Yusac2* are human 25 melanoma lines, *Caki* is a human renal tumor line, *LnCap* is a human prostate tumor line, *A204* is a human neuroblastoma line, *B16F10* is a mouse melanoma line, *EMT6* is a mouse mammary tumor line, *BT20* is a human breast tumor line, *Colo 357* is a human pancreatic tumor line, *MS* is a human gastric tumor line, and 30 *293* is a human kidney line (ATCC, CRL-1573) used for packaging the adenoviral vectors. The culture medium was DMEM + 10% FBS for all of the tumor lines except *LnCap*, which was cultured in RPMI 1640 + 10% FBS.

*Adenoviral vectors.* 1) Procedures summarized in Example 2 above for producing and purifying the adenoviral vectors encoding the *mfVIIasm* and *G71-1*

immunoconjugates were used with the following modification. After the shuttle vector DNAs were digested with *Pmel*, instead of an ethanol precipitation step the DNAs were purified by electrophoresis in agarose gel followed by isolation of the DNA bands using the QIAEX II kit (Qiagen). 2) The vector concentrations in the purified preparations were determined by assays for infectious particles (IP) and infectious units (IU), as follows. (i) The IP assay involves diluting the vector preparation 20-fold in lysis buffer (0.1% SDS in PBS) and measuring absorbance at 260 nm; the conversion to IP is 1 O.D. unit =  $1 \times 10^{12}$  IP. (ii) The IU assay involves infecting cultures of 293 cells with serial dilutions of the vector preparation, incubating the infected cultures for 2 days, and examining the cells in a fluorescence microscope for expression of the Green Fluorescent Protein (GFP) gene in the vector genome. The IU titer is calculated from the number of cells expressing the GFP gene. The IP and IU titers agreed within  $\pm 10\%$ .

*Synthesis of the mfVIIasm immunoconjugate in tumor cells.* Tumor cells were grown almost to confluence in 150-mm dishes, and the cells were infected with the adenovirus encoding the *mfVIIasm* immunoconjugate at a multiplicity of 10 IU per cell. The infected cells were cultured in serum-free medium for 4 days, and 1.5 ml of the medium was mixed with 10  $\mu$ l of a suspension of protein-A beads (Pierce) and rotated at 4°C overnight. The bound *mfVIIasm* immunoconjugate was eluted by heating the beads in 15  $\mu$ l of SDS-PAGE loading buffer at 80°C for 3 min, and the eluate was fractionated by SDS-PAGE and transferred to a nitrocellulose membrane. The immunoconjugate band was detected by immunostaining with a goat anti-human or anti-mouse IgG (Fc specific) probe.

*Immunotherapy tests in immunodeficient mice.* Female C.B-17 SCID mice 4- to 5- weeks old (Taconic Farms) were used for all experiments with immunodeficient mice. Monolayer cultures of the human melanoma lines *LXSN* or *TF* were dissociated in PBS + 2mM EDTA, washed and resuspended in PBS. Skin tumors were generated by subcutaneous injections of  $5 \times 10^5$  cells into the right rear flank, and metastatic lung tumors were generated by intravenous injections of  $6 \times 10^5$  *TF* cells into the tail vein. The size of the skin tumor was measured in two dimensions with a caliper, and the tumor volume was estimated as (width)<sup>2</sup> (length)/2. When a skin tumor had grown to a volume of about 100 mm<sup>3</sup>, intratumoral injections of an adenoviral vector containing a human Fc

effector domain was started. For each injection step, a total volume of 50  $\mu$ l of the vector preparation was injected into 3 or 4 sites on the tumor. At the end of the experiment, autopsies were done to collect blood samples and to prepare the tumors and normal organs for morphological and histological examination.

5        *Immunotherapy tests in immunocompetent mice.* Female C57BL/6 mice 4- to 5- weeks old (Charles River Laboratories) were used for all experiments involving immunocompetent mice. Monolayer cultures of *B16F10* mouse melanoma cells were suspended in PBS + 2 mM EDTA, washed and resuspended in PBS. Skin tumors were generated by subcutaneous injections of  $5 \times 10^5$  cells into 10 the right rear flank. When the skin tumors had grown to an estimated volume of 140 to 325 mm<sup>3</sup>, tail vein injections of the adenoviral vector encoding the *mfVIIasm* immunoconjugate containing a mouse Fc effector domain were started. The procedures for monitoring the efficacy and safety of the protocol are the same as described above for SCID mice.

15        *SGOT assays.* Serum samples were collected from mice, frozen and assayed for glutamic oxalacetic transaminase using a standard diagnostic kit.

Results. The two immunoconjugates used for this study are composed of a tumor-targeting domain and an effector domain (Figure 1). The targeting domain is either a mutated mouse factor VII-molecule (*mfVIIasm*) that binds to TF 20 expressed on tumor vascular endothelial cells and tumor cells but does not initiate blood coagulation (Example 2), or the scFv antibody *G71-1* that binds to its cognate antigen expressed selectively on human melanoma cells (Cai and Garen, cited above, and Example 1). The effector domain is the Fc region of a human or mouse IgG1 immunoglobulin that induces a cytolytic immune response against the 25 targeted cells (Example 1). The vector for delivering the immunoconjugates is a replication-incompetent adenovirus encoding a secreted form of the immunoconjugates (Example 1). In Example 1, intravenous injections into SCID mice of the vectors encoding the immunoconjugates inhibited tumor growth but caused histological damage to the liver, which is the primary target for infection by the 30 intravenously injected vector. In order to determine whether the primary infection could be redirected from liver cells to tumor cells, the vectors were injected intratumorally into a human skin melanoma growing in SCID mice, and the distribution of the vector in the tumor and liver was mapped by the expression of

the Green Fluorescent Protein (GFP) gene inserted into the vector genome (Figure 14). Intense GFP expression was detected in the tumor but not in the liver, indicating that an injected tumor is the primary target for infection by the vector. The pattern of GFP expression in the tumor appeared to be restricted to a few 5 layers of tumor cells adjacent to the path traversed by the injection needle.

The effect of vector dose on tumor growth was tested by injecting a mixture of the two vectors encoding the *mfVIIasm* and *G71-1* immunoconjugates, into a human melanoma skin tumor growing in SCID mice, and measuring the tumor volume during the next 5 days. The ratio of the *mfVIIasm* vector to the 10 *G71-1* vector in the mixture was 5 to 1, in order to compensate for the higher titer of the *G71-1* immunoconjugate secreted into the blood (Example 2). The combined dose of the two vectors used for the injections was varied from  $7 \times 10^8$  to  $6 \times 10^9$  infectious units (IU). For a control, an empty vector without an encoded 15 immunoconjugate was injected at a dose of  $6 \times 10^9$  IU. The strongest inhibition of tumor growth was obtained with the highest dose of the vectors encoding the immunoconjugates. This vector dose was used for all of the following SCID mouse experiments.

In the next experiment, the inhibitory effect on tumor growth of administering multiple intratumoral injections of the two vectors encoding the *mfVIIasm* and 20 *G71-1* immunoconjugates was monitored for 19 days. The titer of immunoconjugate proteins in the blood of the mice 2 days after the last injection of the adenoviral vectors ranged from 1 mg/ml to 2 mg/ml, which is about one-fourth the titer produced by intravenously injected vectors (Example 2). The average volume of these tumors decreased by about 70% within one day after the first injection of the 25 two vectors, and the volumes did not subsequently increase during the rest of the experiment. Tumors injected with an empty control vector grew continuously, reaching at the end of the experiment an average volume of  $1900 \text{ mm}^3$  as compared to  $30 \text{ mm}^3$  for the tumors injected with the vectors encoding the two immunoconjugates. Tumors injected only with the vector encoding the *mfVIIasm* 30 immunoconjugate were inhibited almost as strongly as the tumors injected with both vectors, consistent with earlier experiments involving intravenous injections of the vectors (Example 2). The mice remained active throughout the experiment, and in autopsies performed 2 days after the final injections all of the organs

appeared morphologically and histologically normal and there was no evidence of bleeding. Because SCID mice injected intravenously with the vectors encoding the immunoconjugates showed histological damage to the liver cells (Example 2), the livers of the SCID mice injected intratumorally were tested for histological and

5 also functional damage. In contrast to the major histological changes seen in liver sections from the intravenously injected mice, liver sections from the intra-tumorally injected mice showed relatively minor changes (Figure 15). Liver function was monitored by assays for the enzyme glutamic oxalacetic transaminase (SGOT) in sera obtained from the mice during autopsy. The levels of SGOT in

10 the control mice and the mice injected with the vectors encoding the immunoconjugates remained within the normal range ( $210 \pm 28$  U/L), indicating that liver function was not impaired.

The tumors recovered from the mice injected with the vectors encoding the *mfVIIasm* and G71-1 immunoconjugates were almost completely devoid of

15 blood vessels (Figure 13), presumably as the result of a cytolytic immune response against vascular endothelial cells induced by the *mfVIIasm* immunoconjugate bound to TF.

The efficacy of the intratumoral injection protocol depends not only on inhibition of the injected skin tumor but also of metastatic tumors that are not

20 accessible for injection. To test for an inhibitory effect on metastatic tumors, we used a SCID mouse model in which human melanoma TF cells are injected into the tail vein, resulting in blood-borne metastases mainly to the lungs. The mice also were injected subcutaneously with human melanoma LXSN cells at the same time, and the skin tumors that formed 12 days later were injected with the vectors

25 encoding the two immunoconjugates or with an empty control vector. The intratumoral injections were continued on a biweekly schedule for the next 8 weeks, and autopsies were performed 2 days after the last injection to determine the number of tumor nodules on the surface of the lungs (Table 2). The two mice injected with the control vector had 14 and 29 lung nodules, respectively, in contrast to the

30 five mice injected with the vectors encoding the two immunoconjugates, three of which had no lung nodules and two had 1 and 5 nodules, respectively. These results indicate that intratumoral injections of the vectors encoding the two

immunoconjugates can inhibit growth of distant metastatic tumors as well as the injected tumor.

Table 2. Inhibition of metastatic lung tumors by intratumoral injections of adenoviral vectors encoding the immunoconjugates.

Adenoviral vectors	Number of metastatic lung tumors
Control	14, 29
Encoding the immunoconjugates	1, 5, 0, 0, 0

10 SCID mice were injected on day 0 with human melanoma cells intravenously to generate blood-borne metastatic lung tumors and subcutaneously to generate a skin tumor. Intratumoral injections of the adenoviral vectors encoding the *mfVIIasm* and *G71-1* immunoconjugates into the skin tumor was started on day 10, and  
15 additional injections were done biweekly for 7 weeks. The autopsies were done 2 weeks after the last injection. The number of mice was 2 for the control vector and 5 for the vector encoding the immunoconjugates.

20 Another parameter that could affect the efficacy of the protocol in a clinical setting is a patient's immune response to the immunoconjugates or the adenoviral vector. Because the targeting and effector domains of immunoconjugates for clinical use would be derived from human proteins, patients should not mount a significant immune response to the immunoconjugates. However, the  
25 adenoviral vector is strongly immunogenic in humans and also in mice. To assess the effect of an immune response to the adenoviral vector in mice, the protocol was tested in immunocompetent mice carrying a mouse melanoma skin tumor. The experiment involved intravenous instead of intratumoral injections of the vector, because the mouse melanoma cells cannot be infected by the vector. Also,  
30 only the *mfVIIasm* immunoconjugate could be tested, because the mouse melanoma cells bind the *mfVIIasm* immunoconjugate but not the *G71-1* immunoconjugate. The Fc effector domain of the immunoconjugate for this experiment was derived from a mouse IgG1 immunoglobulin. The results show that intravenous injections of the adenoviral vector encoding the *mfVIIasm* immunoconjugate results in growth  
35 inhibition of a melanoma tumor in immunocompetent mice as well as in SCID mice (Figure 16).

Because TF is generally expressed by tumor vascular endothelial cells and also by most metastatic tumor cells, a *fVIIasm* immunoconjugate could mediate a cytolytic immune response not only against human melanomas but also against a broad spectrum of other human tumors. As shown in the study reported here, 5 intratumoral injections of an adenoviral vector encoding the *mfVIIasm* immunoconjugate into a human tumor appears to be a safe and effective protocol for establishing and maintaining a high blood titer of the immunoconjugate. However, the cells of the injected tumor must be susceptible to infection by the adenovirus and be capable of synthesizing and secreting the encoded immunoconjugate. A 10 panel of human and mouse tumor lines, consisting of human melanoma, prostate cancer, breast cancer, pancreatic cancer, renal cancer, gastric cancer, and neuroblastoma lines and mouse melanoma and breast cancer lines, was tested as a host for the adenoviral vector encoding the *mfVIIasm* immunoconjugate. All of the human tumor lines produced and secreted about the same amount of the immunoconjugate protein, indicating that the intratumoral injection protocol could also be 15 used for other types of human solid tumors in addition to melanoma. The mouse tumor lines failed to produce the immunoconjugate protein, probably because the mouse cells were not infected by the adenoviral vector.

Discussion. The cancer immunotherapy protocol described in this 20 example involves intratumoral injections of replication-incompetent adenoviral vectors encoding immunoconjugate molecules that mediate a cytolytic immune response against the tumor vasculature and tumor cells (Figure 1). The cells infected by the vectors synthesize the encoded immunoconjugates, which are secreted into the blood and bind to the cognate targets on tumor vasculature 25 endothelial cells and tumor cells. Intratumoral injection of the vectors provides an important safety advantage over intravenous injection, because the vector infects predominantly the cells of the injected tumor instead of liver cells. Neither the liver nor any other organ of the mice showed significant damage from repeated intratumoral injections. Several types of human tumor cells other than melanoma 30 also can be infected by the vector and can synthesize and secrete the encoded immunoconjugate. Thus, the intratumoral injection route for the vectors could be generally applicable to human solid tumors. If no tumor is accessible for injection, the vector could be injected into a susceptible normal tissue.

The therapeutic efficacy of the intratumoral injection protocol was tested in a SCID mouse model of human skin melanoma and metastatic lung melanoma. The tests involved two immunoconjugates containing different targeting domains.

1) One targeting domain is the blood zymogen factor VII (fVII) that binds with

5 high affinity and specificity to the transmembrane receptor tissue factor expressed by endothelial cells of growing blood vessels, including the vessels of the tumor neovasculature, and also by most human tumor cells. A fVII immunoconjugate must compete *in vivo* with endogenous factor VII for binding to tissue factor on the targeted cells. This competition strongly favors the homodimeric immunocon-

10 jugate molecule (Figure 1) over the monomeric endogenous molecule, because the avidity effect of two targeting domains enhances binding to cells expressing multiple copies of tissue factor (Example 2); also, the blood titer is higher for the vector-encoded fVII immunoconjugate than for endogenous factor VII. A mouse fVII targeting domain was used for the experiments involving human tumor

15 xenografts growing in SCID mice, because it binds tightly both to human tissue factor on the tumor cells and to mouse tissue factor on the mouse endothelial cells in the tumor vasculature. To prevent initiation of the blood coagulation pathway by the binding of a fVII immunoconjugate to tissue factor, which could cause disseminated intravascular coagulation, a mutation was introduced into the active

20 site of the mouse fVII targeting domain (*mfVIIasm*). 2) The second targeting domain is the single-chain Fv (scFv) antibody *G71-1* that binds to a chondroitin sulfate proteoglycan expressed selectively by human melanoma cells (Examples 1 and 2). The results of the immunotherapy tests showed that intratumoral injections of the vector encoding the *mfVIIasm* immunoconjugate, either alone or together

25 with the vector encoding the *G71-1* immunoconjugate, inhibited growth of the injected skin tumor and also of metastatic lung tumors (Figure 16 and Table 2). The residual tumor tissue remaining after intratumoral injections of the vectors encoding the immunoconjugates was almost devoid of blood vessels (Figure 13), indicating that the *mfVIIasm* immunoconjugate induces a potent cytolytic immune

30 response resulting in extensive destruction of the tumor neovasculature.

The above description is for the purpose of teaching the person of ordinary skill in the art how to practice the present invention, and it is not intended to detail all those obvious modifications and variations of it which will become apparent to the skilled worker upon reading the description. It is intended, however, that all such obvious modifications and variations be included within the scope of the present invention, which is defined by the following claims. The claims are intended to cover the claimed components and steps in any sequence which is effective to meet the objectives there intended, unless the context specifically indicates the contrary.

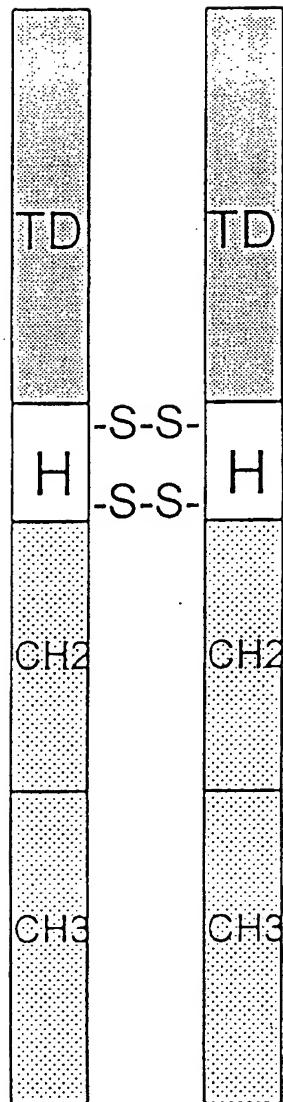
The papers, patents, and applications cited herein and the references cited in them are expressly incorporated in their entireties by reference.

## CLAIMS

1. A composition useful in the treatment of pathological conditions characterized by neovascularization comprising an immunoconjugate protein constructed as a dimer of two identical chains, each having an effector domain which is the Fc region of an IgG1 immunoglobulin conjugated to a targeting domain which is a mutant form of factor VII that binds to tissue factor but does not initiate blood coagulation.  
5
2. A composition according to claim 1 wherein the targeting domain of the immunoconjugate protein comprises human factor VII having a substitution of alanine for lysine-341.
3. A composition according to claim 1 wherein the targeting domain of the immunoconjugate protein comprises human factor VII having a substitution of alanine for serine-344.
4. A composition according to claim 1 further comprising a second immunoconjugate protein constructed as a dimer of two identical chains, each having an effector domain which is the Fc region of a human IgG1 immunoglobulin conjugated to a targeting domain which is a human scFv or V<sub>H</sub> antibody fragment that binds to neovasculature.
5. A composition according to claim 1 further comprising a second immunoconjugate protein constructed as a dimer of two identical chains, each having an effector domain which is the Fc region of an IgG1 immunoglobulin conjugated to a targeting domain which is a scFv or V<sub>H</sub> antibody fragment that binds to a particular type of tumor cell.
6. A composition according to any of claims 1 to 5 wherein the immunoconjugate protein is encoded as a secreted molecule in an expression vector.

7. A composition according to claim 6 wherein the expression vector is a replication-deficient adenoviral vector.
8. A composition according to claim 6 wherein the expression vector is an adeno-associated expression vector.
9. A method for treating a disease associated with neovascularization, which comprises administering to a patient having the disease an effective amount of at least one type of immunoconjugate protein comprising the Fc region of an IgG1 immunoglobulin conjugated to a targeting domain comprising a mutant form of factor VII that binds to tissue factor but does not initiate blood clotting.  
5
10. A method according to claim 9 wherein the targeting domain of the immunoconjugate protein comprises human factor VII having a substitution of alanine for lysine-341.
11. A method according to claim 9 wherein the targeting domain of the immunoconjugate protein comprises human factor VII having a substitution of alanine for serine-344.
12. A method according to claim 9 wherein a second immunoconjugate protein having an effector domain which is the Fc region of an IgG1 immunoglobulin conjugated to a targeting domain which is a human scFv or V<sub>H</sub> antibody fragment that binds to neovasculature or to tumor cells is administered to the patient as  
5 adjunct therapy.
13. A method according to claim 9 which is a treatment for a disease selected from the group consisting of cancer involving a vascularized tumor, rheumatoid arthritis, and the exudative form of macular degeneration.
14. A method according to any of claims 9 to 13 wherein the patient is treated by administration of an immunoconjugate protein in a pharmaceutically acceptable carrier.

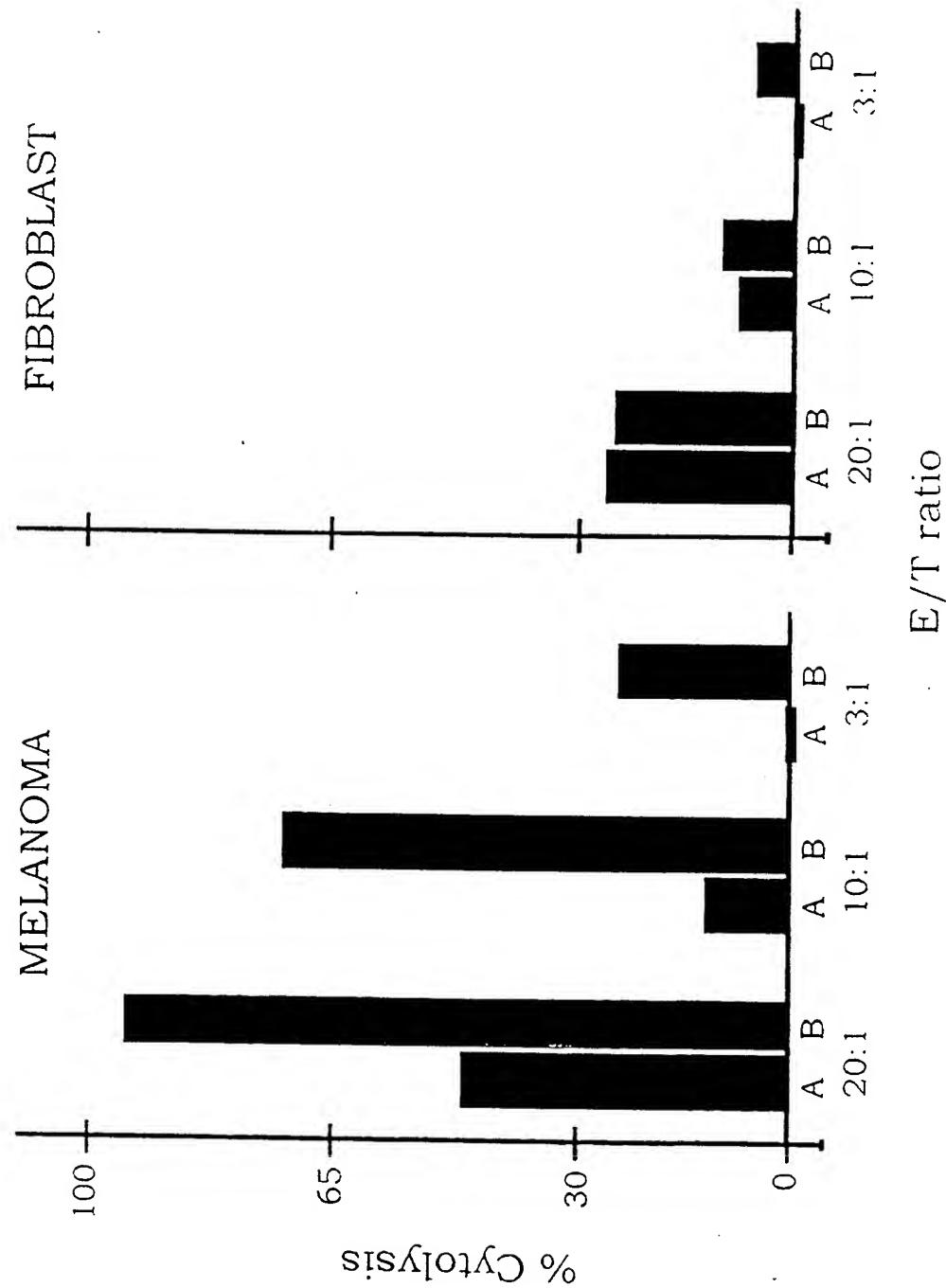
15. A method according to any of claims 9 to 13 wherein the patient is treated by administration of a replication-deficient adenoviral vector or an adeno-associated vector carrying a cDNA encoding a secreted form of one or more types of immunoconjugate protein.
16. A method according to claim 15 wherein a replication-deficient adenoviral vector is employed.
17. A method for treating cancer in a patient, which comprises administering to the patient an effective amount of at least one type of immunoconjugate protein comprising the Fc region of a human IgG1 immunoglobulin conjugated to a targeting domain comprising a mutant form of human factor VII selected from the 5 group consisting of native factor VII having a substitution of alanine for lysine-341, native factor VII having a substitution of alanine for serine-344, and mixtures thereof.
18. A method according to claim 17 wherein a second immunoconjugate protein having an effector domain which is the Fc region of a human IgG1 immunoglobulin conjugated to a targeting domain which is a human scFv or V<sub>H</sub> antibody fragment that binds to the patient's type of tumor cell is administered to the patient as adjunct therapy.
19. A method according to claims 17 or 18 wherein the patient is treated by administering the immunoconjugate in a pharmaceutically acceptable carrier.
20. A method according to claims 17 or 18 wherein the patient is treated by administering a replication-deficient adenoviral vector carrying a cDNA encoding a secreted form of one or more types of the immunoconjugate protein.

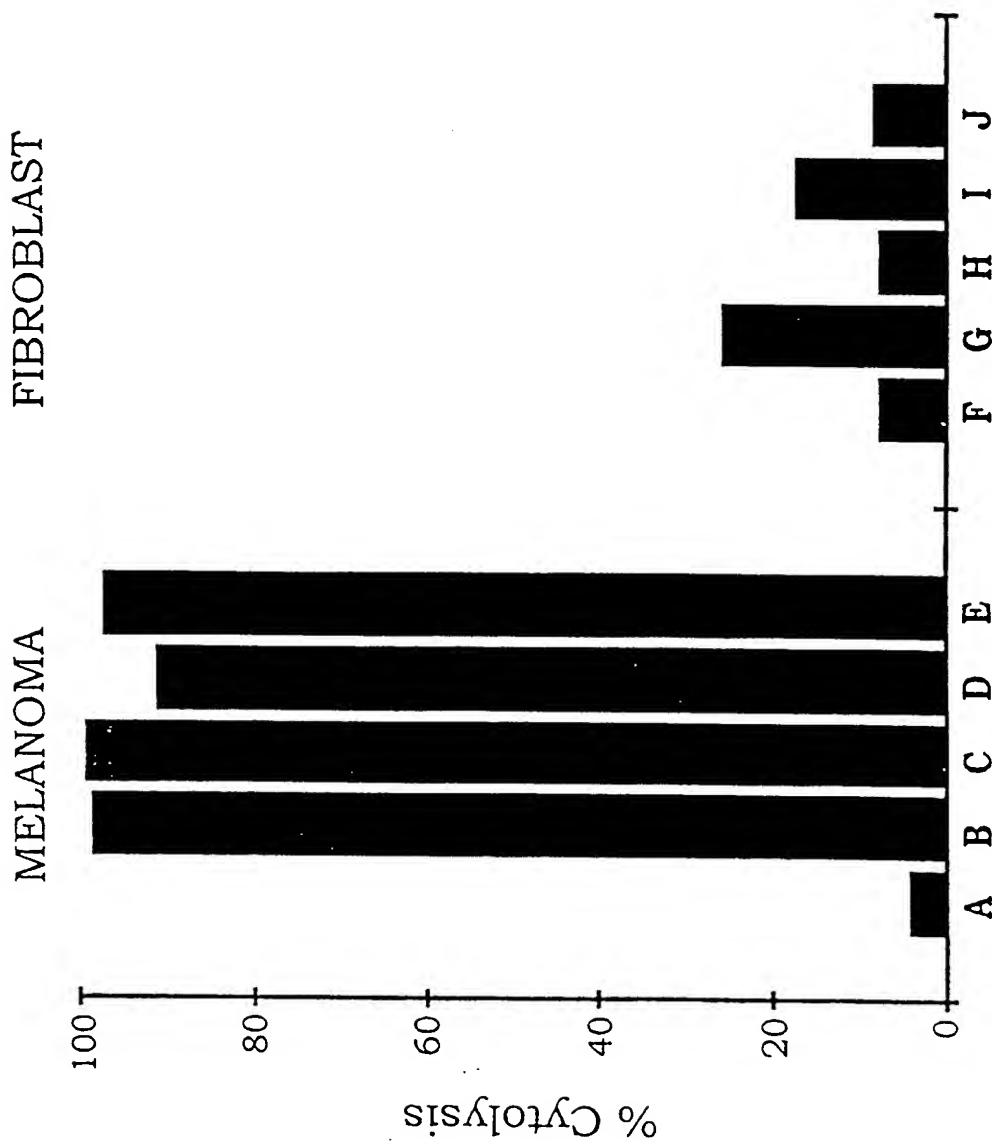


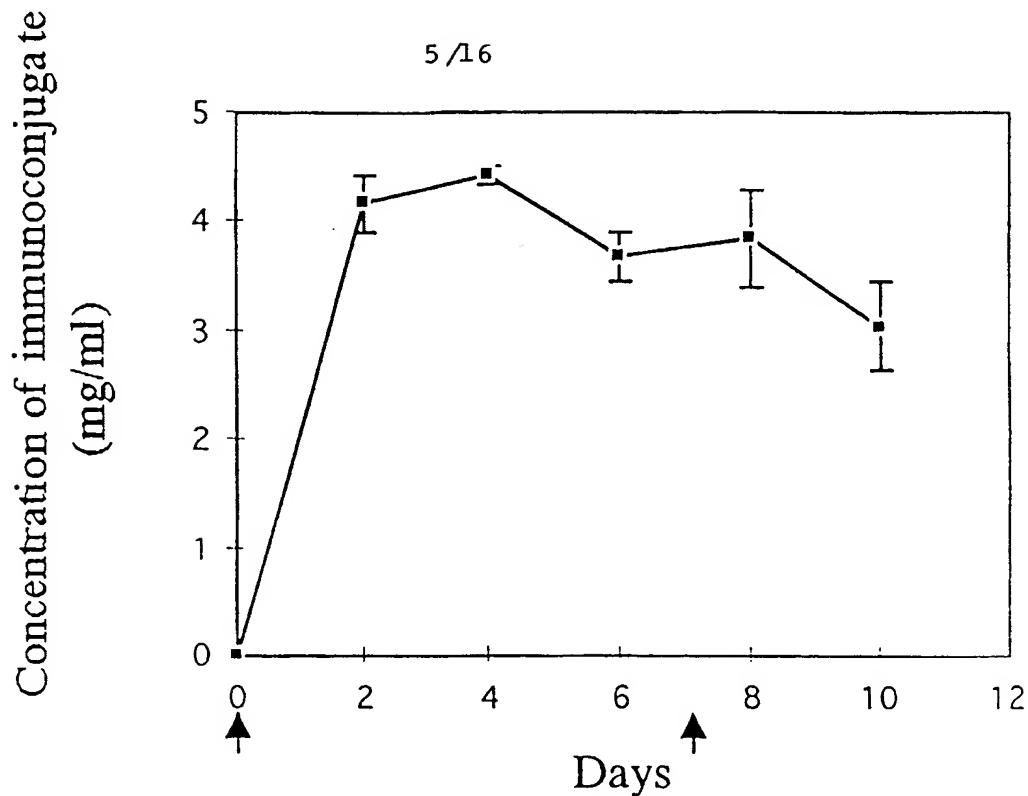
**Figure 1**

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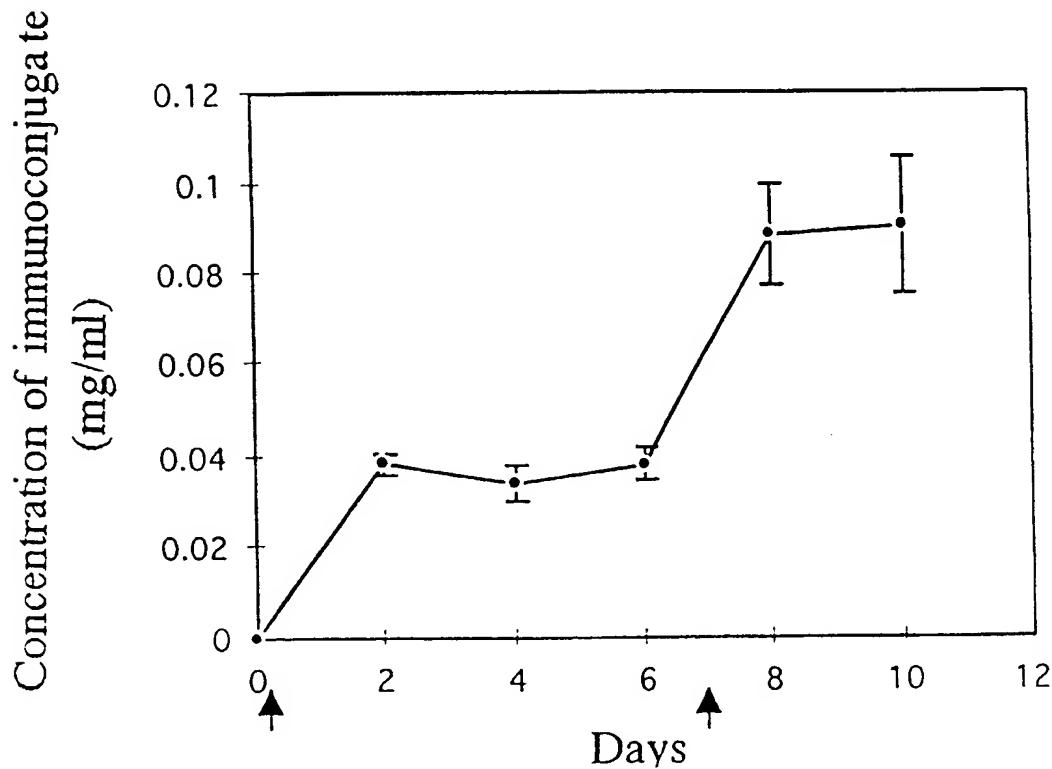
Figure 2



**Figure 3**



**Figure 5A**



**Figure 5B**

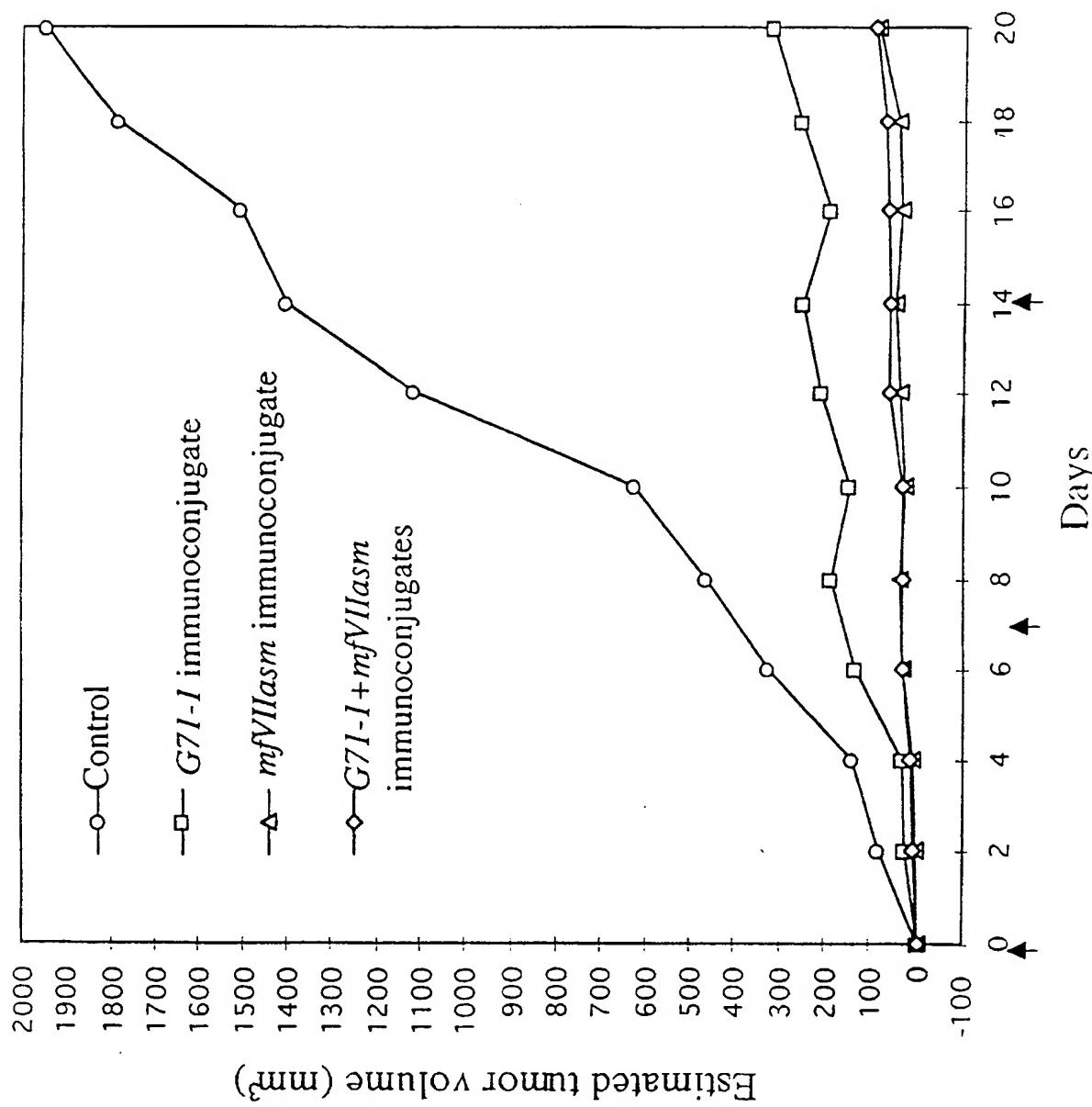


Figure 4A

Figure 4B

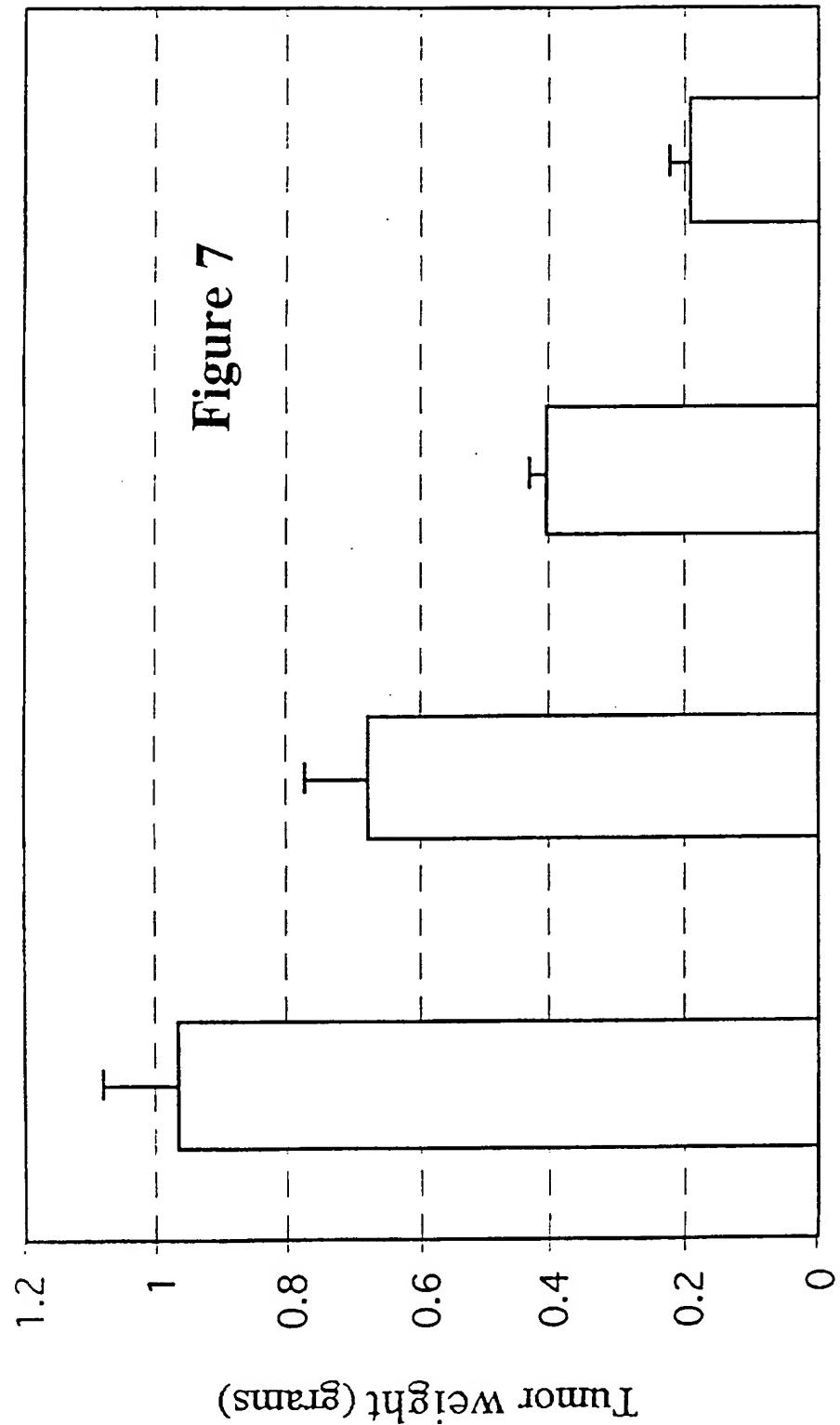
Figure 4C

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**Figure 6**

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Figure 7



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Figure 8

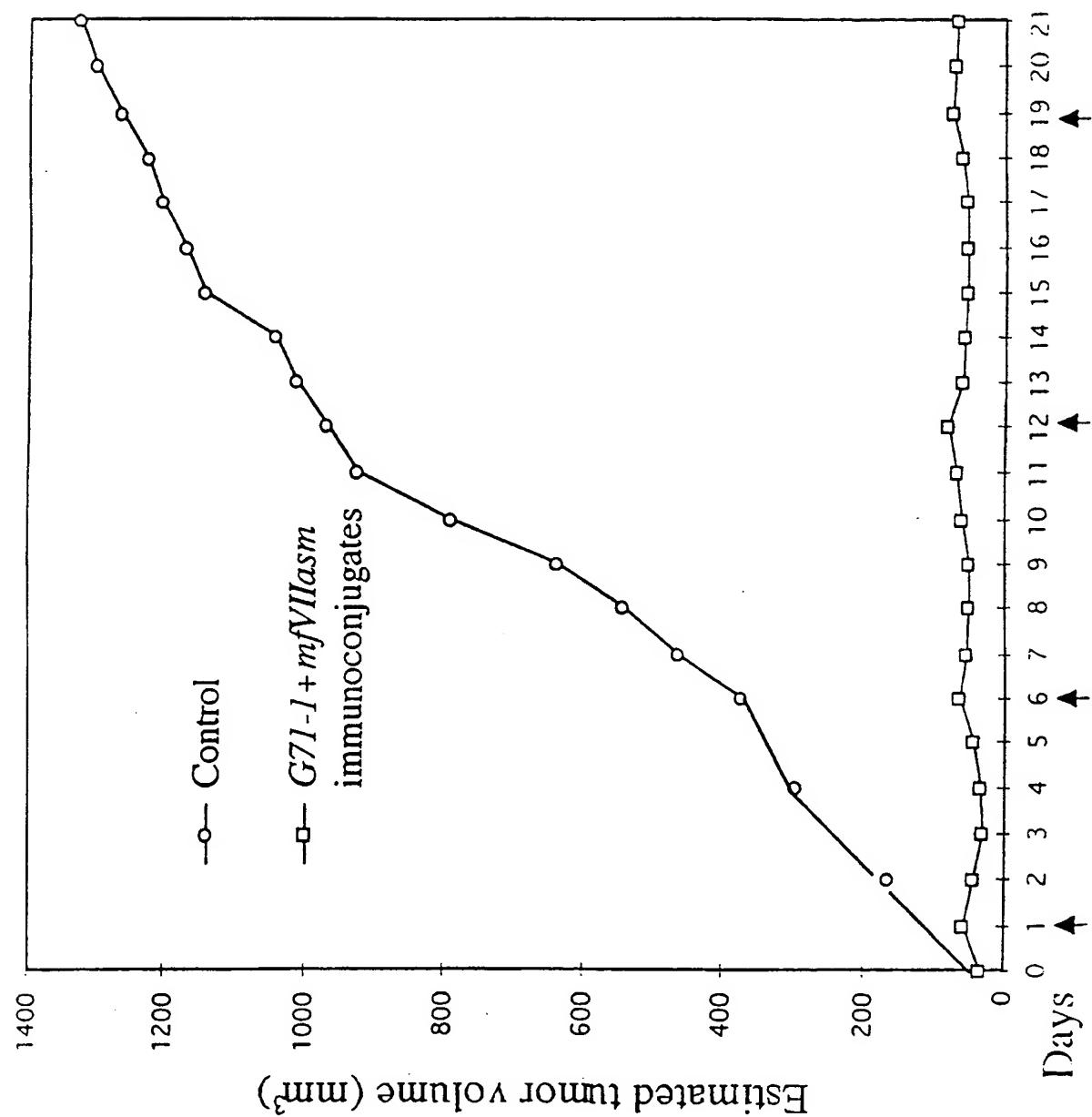
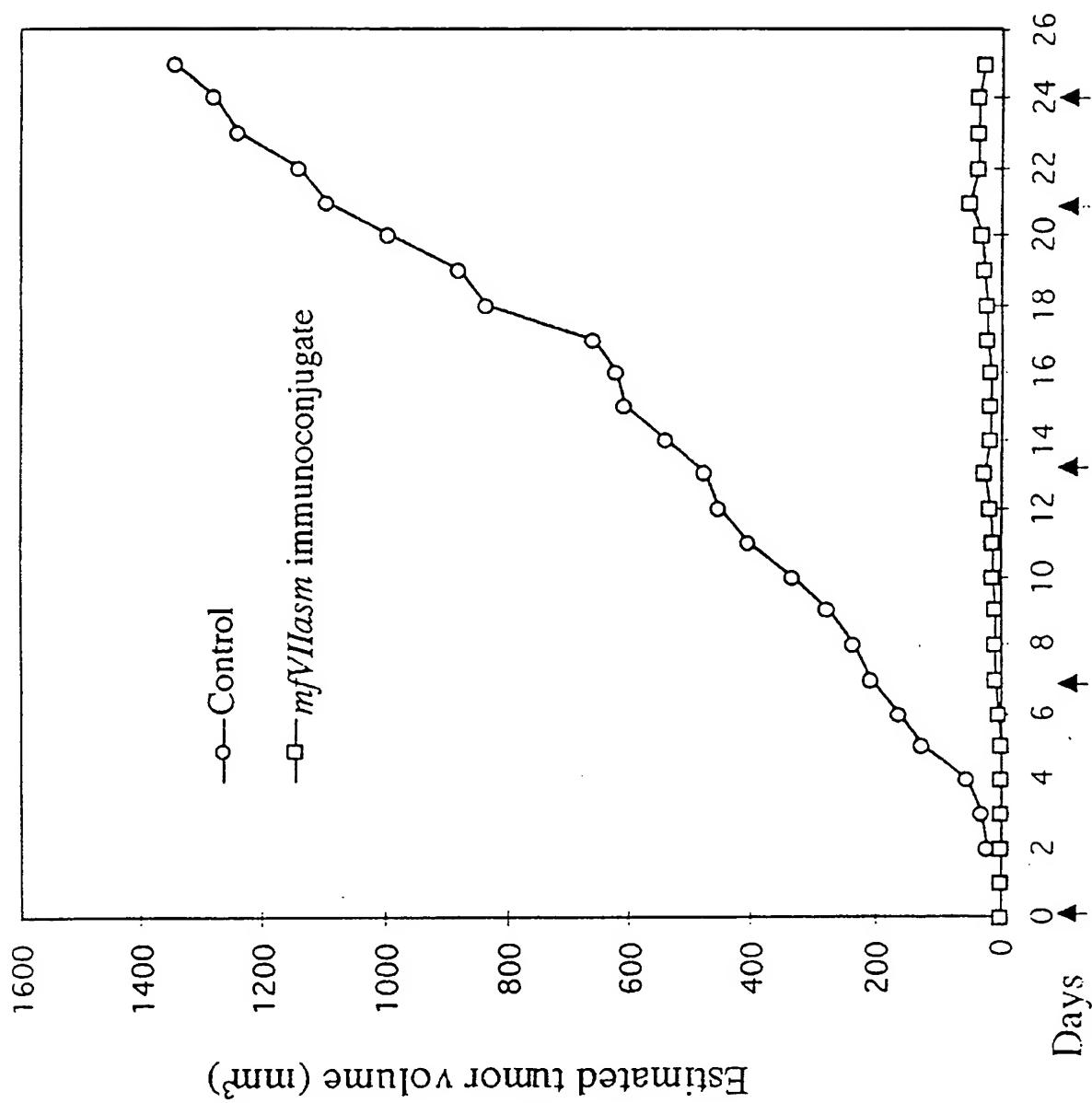
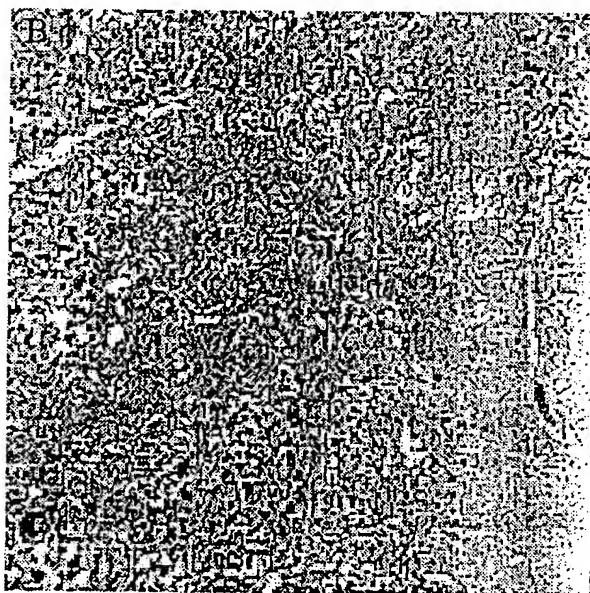
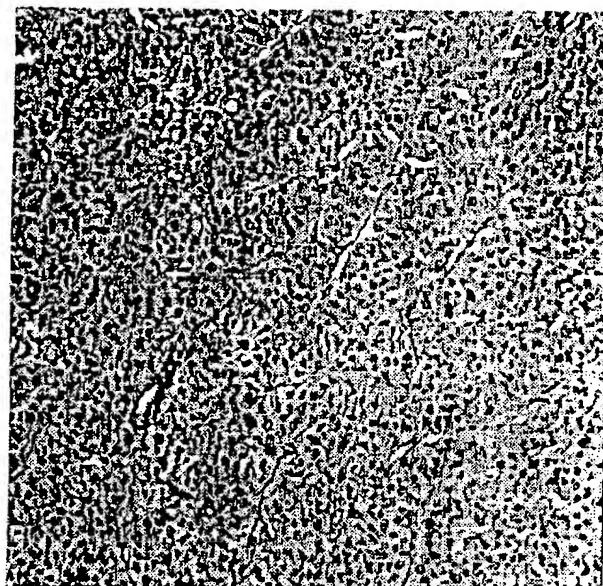


Figure 9



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**Figure 10A**



**Figure 10B**

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Figure 11

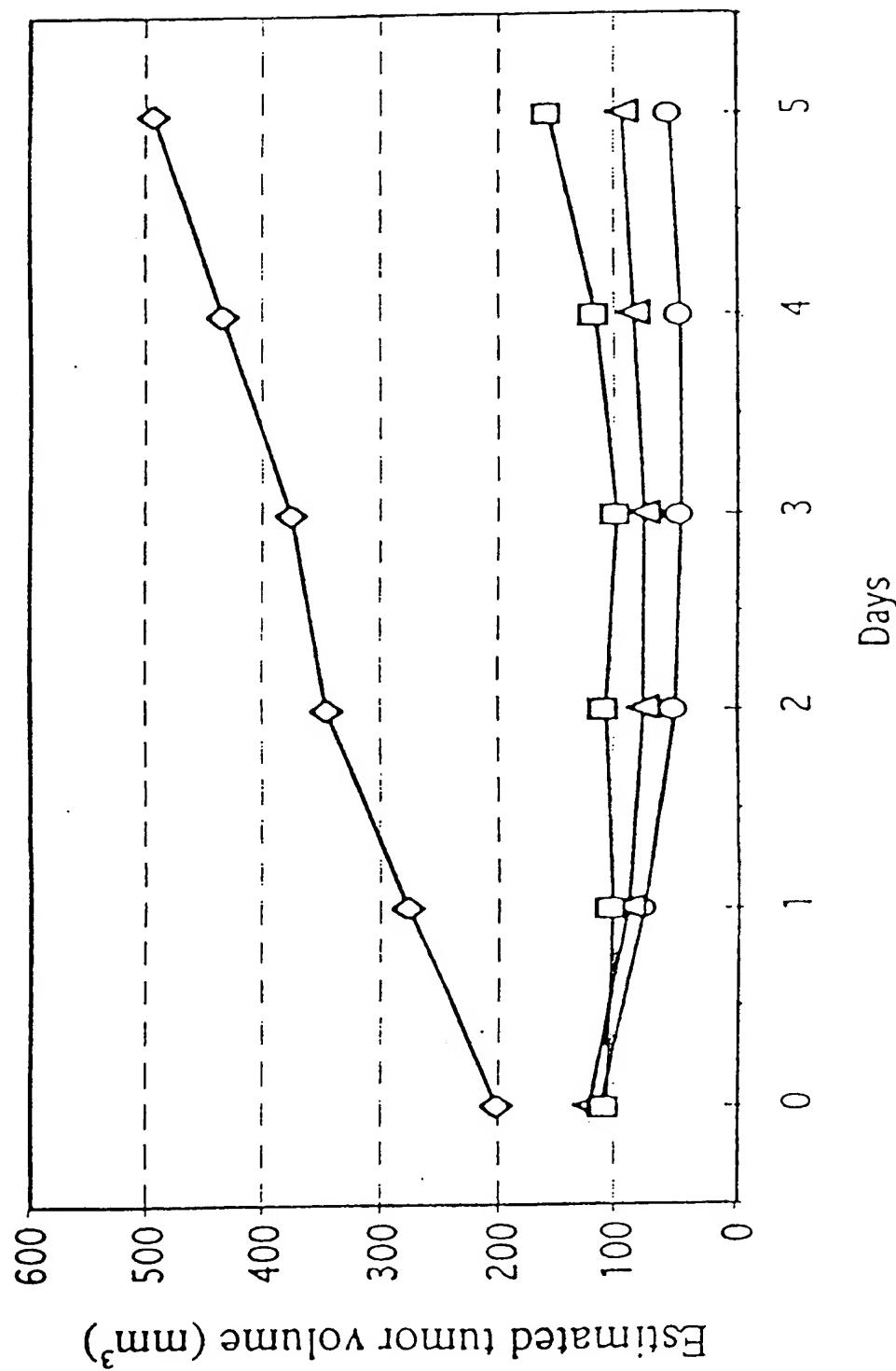
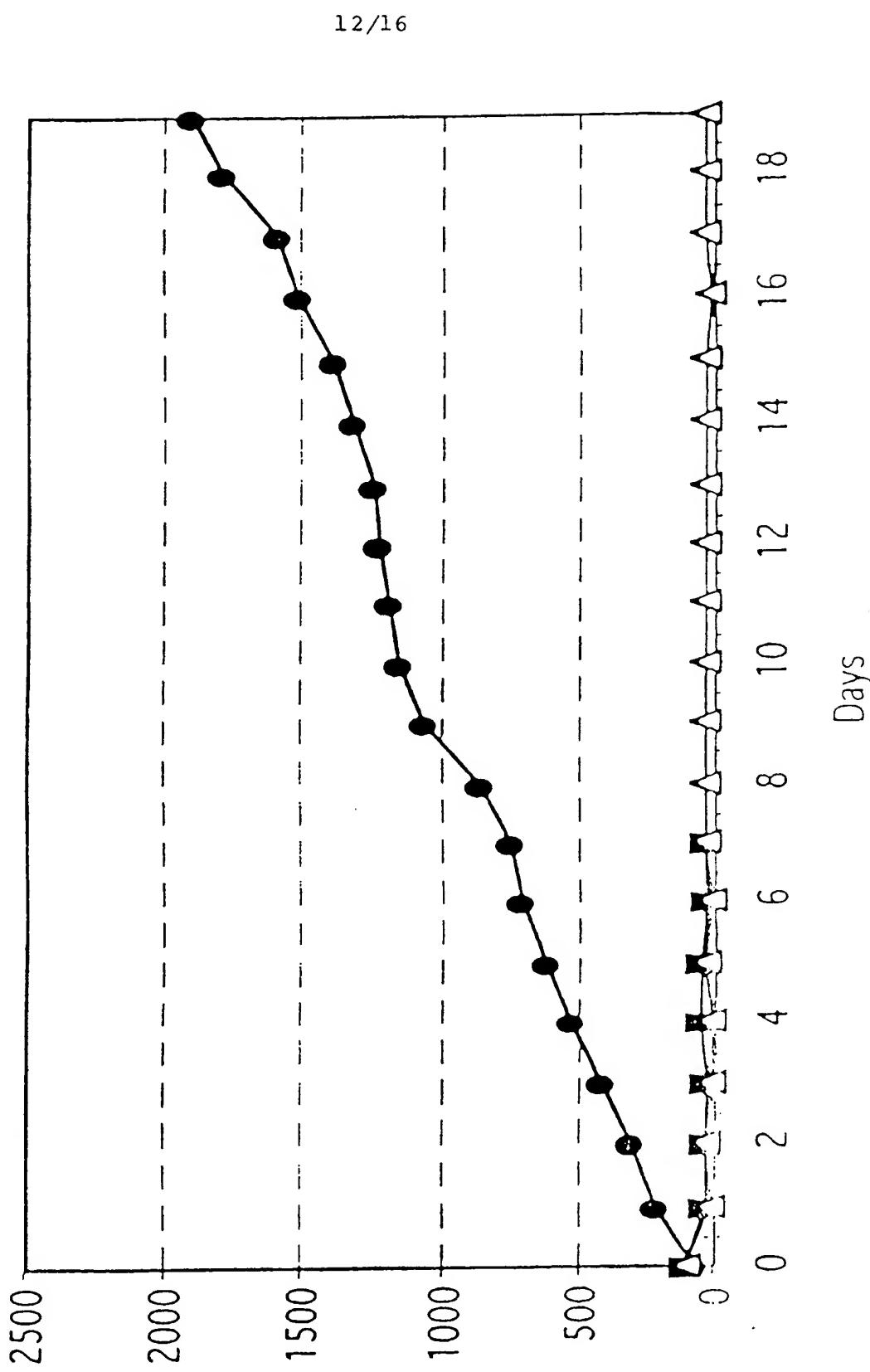
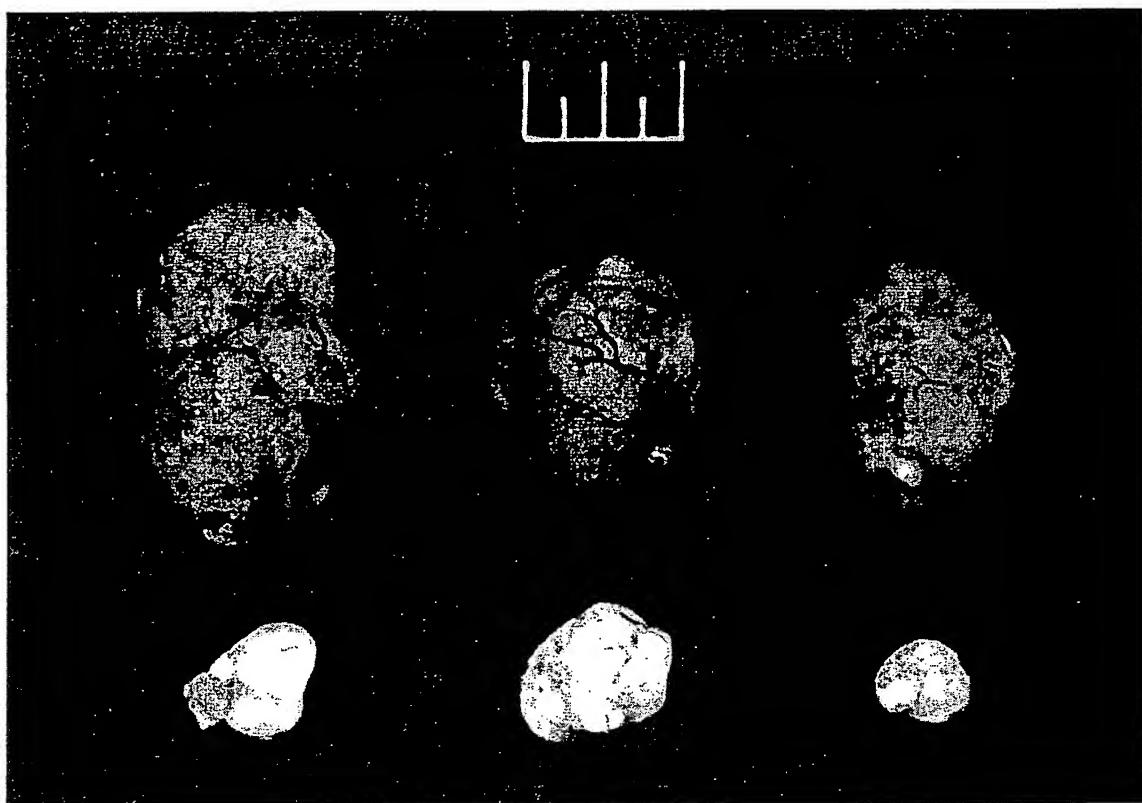


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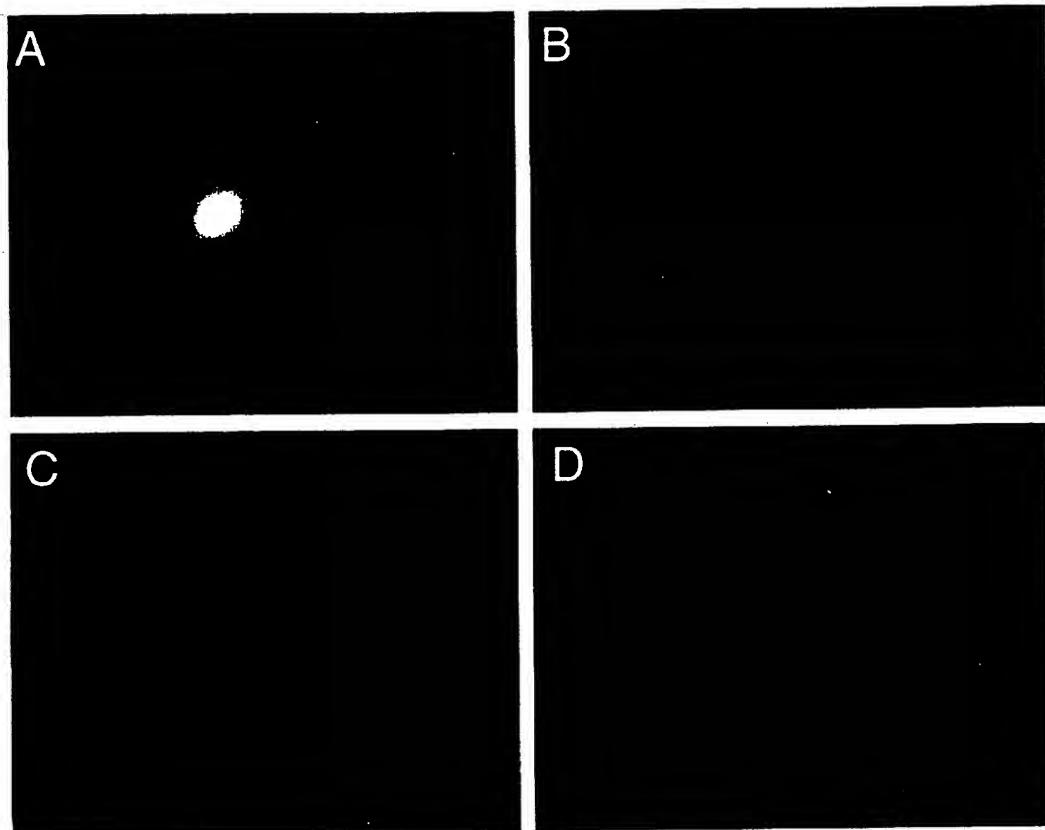


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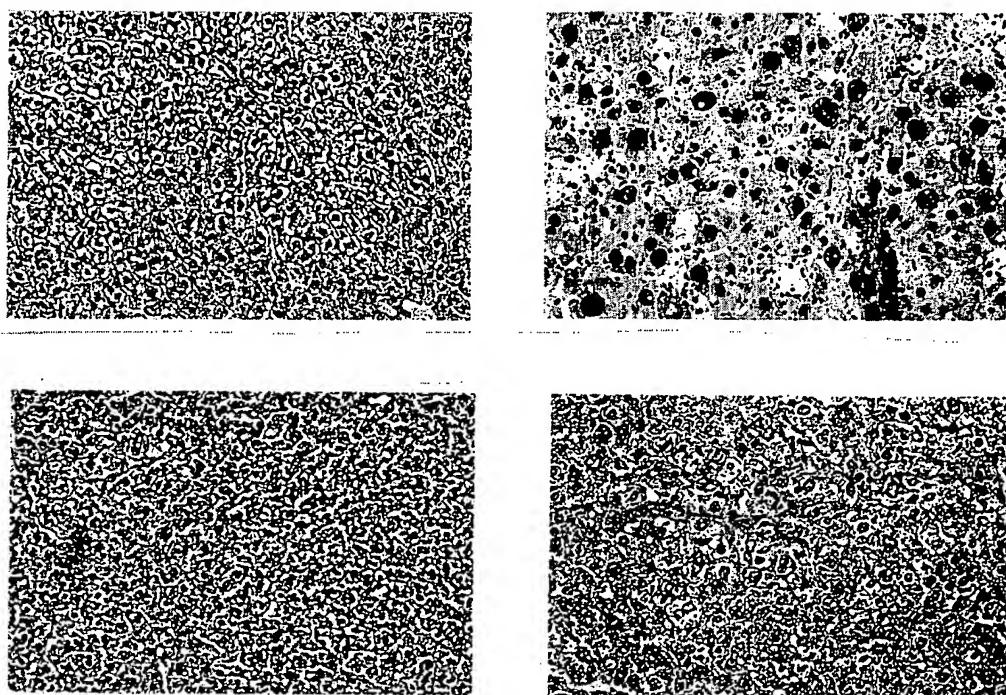
**Figure 13**

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**Figure 14**

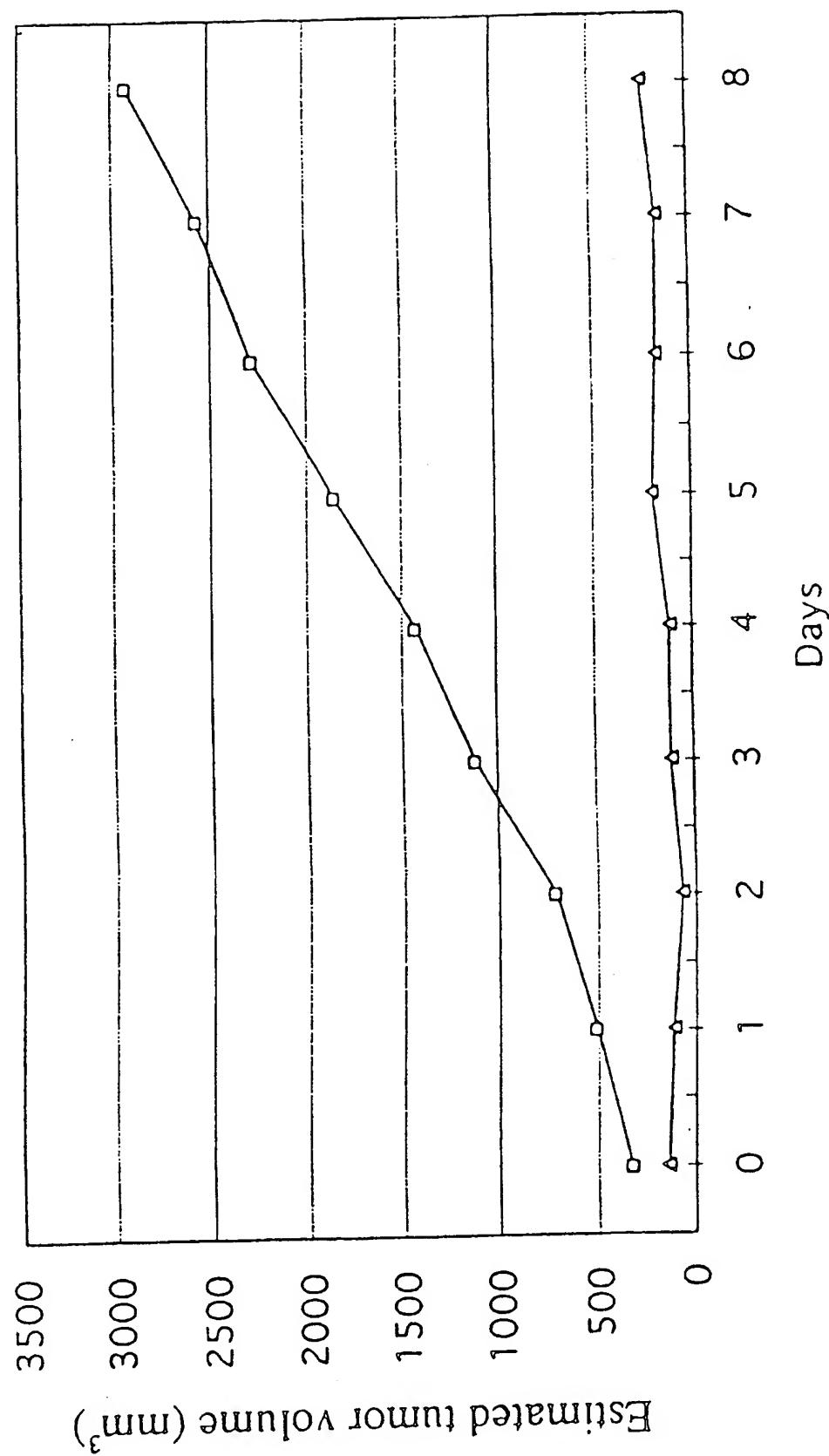
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**Figure 15**

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Figure 16



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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/16481

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C07K 16/00; A61K 39/395

US CL : 530/387.1, 387.3, 424/130.1, 133.1, 134.1, 135.1, 155.1

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 530/387.1, 387.3, 424/130.1, 133.1, 134.1, 135.1, 155.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAPLUS, MEDLINE, USPATFULL, WEST

search terms: Fc region, factor VII, immunoconjugate, Author search, scFv, neovascularization, cancer, mutant factor

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim
X,P	HU et al. Targeting tumor vasculature endothelial cells and tumor cells for immunotherapy of human melanoma in mouse xenograft model. Proc. Natl. Acad. Sci. USA. July 1999, Vol. 96, pages 8161-8166, see entire document.	1-20
Y	US 5,879,672 A (DAVIS et al.) 09 March 1999 (09.03.99), see abstract and column 2, lines 1-10, column 3, lines 5-13, and column 13, lines 50-60.	1-6, 9-14, and 19
Y	US 5,861,374 A (BERKNER et al.) 19 January 1999 (19.01.99), see entire document.	1-6, 9-14, and 19

 Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or prior date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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*E* earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

15 AUGUST 2000

Date of mailing of the international search report

18 SEP 2000

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LARRY HELMS

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/16481

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claims
Y	US 5,877,289 A (THORPE ET AL) 02 March 1999 (02.03.99), see entire document, especially figure 5, and column 10, lines 10-23.	1-6, 9-14, and 17-19
Y	WANG et al. Human single-chain Fv immunoconjugates targeted to a melanoma-associated chondroitin sulfate proteoglycan mediate specific lysis of human melanoma cells by natural killer cells and complement. Proc. Natl. Acad. Sci. USA. February 1999, Vol. 96, pages 1627-1632, see entire document, especially figure 1.	1-6, 9-14, and 19

